

RELATIVISTIC EQUATION FOR THE S MATRIX IN THE p REPRESENTATION.

I. UNITARITY AND CAUSALITY CONDITIONS

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A covariant formulation of the theory of the scattering matrix in the p representation is given. The theory contains the unitarity and causality conditions.

1. INTRODUCTION

THE aim of the present paper is a consistent covariant formulation of the theory of the S matrix in the p representation. Special attention will be paid to such properties of the S matrix as unitarity and causality.

It is well known that these properties of the S matrix are easily established in the x representation with the help of the Schrödinger equation or the representation of the scattering matrix in the form of a T exponential, which follows from the Schrödinger equation. However, if for example, we go over in the T exponential to the p representation and then try to prove its unitarity in the general form, we find that this is a very complicated task. The causality condition implies some definite analytic properties of the matrix elements in p space which are also in general extremely involved.

In considering generalizations of quantum field theory in p space<sup>[1,2]</sup> where the transition to a description in terms of x space quantities is impossible, there are no convenient criteria for unitarity and causality, which makes the construction of a new scheme very difficult and quite generally casts some doubt on the correctness of such a scheme. We therefore attempt below to solve the following problem: to reformulate the usual field theory in p space in such a way that the conditions of unitarity and causality of the S matrix have a compact form and are easy to demonstrate.

All further derivations will be carried out in the interaction representation. For simplicity we shall consider the self-interaction of a scalar field  $\varphi(x)$  with mass m, where the interaction Lagrangian is chosen in the form<sup>[3]</sup>

$$\mathcal{L}(x) = g : \varphi^3(x) :. \tag{1.1}$$

The generalization to other interactions presents no difficulties.

2. EQUATIONS OF MOTION FOR THE S MATRIX IN p SPACE AND THE UNITARITY CONDITION

Let

$$S = T \exp \{ i \int \mathcal{L}(x) dx \} \tag{2.1}$$

be the scattering matrix corresponding to the Lagrangian (1.1). Writing S in the form

$$S = 1 + i\mathcal{F}, \tag{2.2}$$

we have, according to (2.1),

$$\mathcal{F} = \sum_{n=1}^{\infty} \frac{i^{n-1}}{n!} \int T(\mathcal{L}(x_1) \dots \mathcal{L}(x_n)) dx_1 \dots dx_n,$$

or

$$\mathcal{F} = \sum_{n=1}^{\infty} i^{n-1} \int \theta(x_1^0 - x_2^0) \dots \theta(x_{n-1}^0 - x_n^0) \mathcal{L}(x_1) \dots \mathcal{L}(x_n) dx_1 \dots dx_n \equiv \sum_{n=1}^{\infty} \mathcal{F}_n. \tag{2.3}$$

We bring (2.3) into a completely fourdimensional form by replacing  $\theta(x^0)$  by the invariant functions  $\theta(\lambda x)$ , where  $\lambda x = \lambda_0 x^0 - \lambda \mathbf{x}$ , and

$$\lambda^2 = 1, \quad \lambda_0 > 0. \tag{2.4}$$

As a result we obtain

$$\sum_{n=1}^{\infty} \mathcal{F}_n = \sum_{n=1}^{\infty} i^{n-1} \int \theta(\lambda(x_1 - x_2)) \dots \theta(\lambda(x_{n-1} - x_n)) \mathcal{L}(x_1) \dots \mathcal{L}(x_n) dx_1 \dots dx_n. \tag{2.5}$$

As is known, the dependence of the quantities  $\mathcal{F}_n$  on  $\lambda$  in (2.5) is purely fictitious, since for  $(x_i - x_{i+1})^2 > 0$  always  $\theta(x_i^0 - x_{i+1}^0)$



or

$$\begin{aligned} R(\lambda\tau) - R^+(-\lambda\tau) \\ = \frac{1}{2\pi} \int \frac{d\tau'}{\tau' - i\epsilon} [R^+(-\lambda\tau') R(\lambda\tau - \lambda\tau') \\ + R^+(\lambda\tau' - \lambda\tau) R(\lambda\tau')]. \end{aligned} \quad (2.23)$$

Setting  $\tau = 0$  in (2.23) and using

$$\frac{1}{\tau - i\epsilon} = P \frac{1}{\tau} + i\pi\delta(\tau), \quad (2.24)$$

we obtain

$$R(0) - R^+(0) = iR^+(0)R(0), \quad (2.25)$$

i.e., the unitarity condition for the matrix  $S = 1 + iR(0)$ . Hence (2.23) is the unitarity condition for the S matrix for  $\tau \neq 0$ .

The unitarity of the scattering matrix can also be derived from (2.11) in a different fashion. For this purpose, let us employ (2.24) to write (2.11) in the form

$$\begin{aligned} R(\lambda\tau) = \tilde{\mathcal{L}}(\lambda\tau) \left[ 1 + \frac{i}{2} R(0) \right] \\ + \frac{1}{2\pi} P \int \tilde{\mathcal{L}}(\lambda\tau - \lambda\tau') \frac{d\tau'}{\tau'} R(\lambda\tau'), \end{aligned} \quad (2.26)$$

and multiply both sides on the right by the operator  $[1 + (i/2)R(0)]^{-1}$ . We then find

$$R(\lambda\tau) - \frac{i}{2} K(\lambda\tau) R(0) = K(\lambda\tau), \quad (2.27)$$

where the operator  $K(\lambda\tau)$  is defined by the equation

$$K(\lambda\tau) = \tilde{\mathcal{L}}(\lambda\tau) + \frac{1}{2\pi} P \int \tilde{\mathcal{L}}(\lambda\tau - \lambda\tau') \frac{d\tau'}{\tau'} K(\lambda\tau'), \quad (2.28)$$

or by the expansion

$$\begin{aligned} K(\lambda\tau) = \tilde{\mathcal{L}}(\lambda\tau) + \sum_{n=1}^{\infty} \frac{1}{(2\pi)^n} P \int \tilde{\mathcal{L}}(\lambda\tau - \lambda\tau_1) \frac{d\tau_1}{\tau_1} \\ \dots \frac{d\tau_n}{\tau_n} \mathcal{L}(\lambda\tau_n). \end{aligned} \quad (2.29)$$

Since  $\tilde{\mathcal{L}}(0)^+ = \mathcal{L}(0)$  from (2.15), it follows from (2.29) that

$$K^+(0) = K(0). \quad (2.30)$$

Setting  $\tau = 0$  in (2.27) and recalling the definition  $S = 1 + iR(0)$ , we obtain

$$S = \left( 1 + \frac{i}{2} K(0) \right) \left( 1 - \frac{i}{2} K(0) \right), \quad (2.31)$$

from where we find  $SS^+ = 1$  on account of (2.30).

Evidently  $K(0)$  is the known reactance matrix of Wigner, and (2.27) is the analog of the Heitler equation.<sup>[5,6]</sup> If we set  $\tau = 0$  and  $\lambda = 0$  in (2.29) and go over to  $x$  space, we arrive at an expression for the  $K$  matrix which has been given, e.g., in<sup>[6]</sup>:

$$\begin{aligned} K = \sum_{n=1}^{\infty} \left( \frac{i}{2} \right)^{n-1} \int \mathcal{L}(x_1) \varepsilon(x_1^0 - x_2^0) \mathcal{L}(x_2) \\ \dots \varepsilon(x_{n-1}^0 - x_n^0) \mathcal{L}(x_n) dx_1 \dots dx_n, \end{aligned}$$

where

$$\varepsilon(x^0) = \text{sign}(x^0).$$

The expansion (2.12) can be summed up formally by introducing matrix notation in (2.10):

$$\tilde{\mathcal{L}}(\lambda\tau - \lambda\tau') = \langle \tau | \hat{\mathcal{L}} | \tau' \rangle,$$

$$R(\lambda\tau; \lambda\tau') = \langle \tau | \hat{R} | \tau' \rangle,$$

$$R(\lambda\tau) \equiv R(\lambda\tau; 0) = \langle \tau | \hat{R} | 0 \rangle,$$

$$2\pi\tau\delta(\tau - \tau') = \langle \tau | \hat{T} | \tau' \rangle,$$

$$\frac{1}{2\pi} \frac{1}{\tau - i\epsilon} \delta(\tau - \tau') = \left\langle \tau \left| \frac{1}{\hat{T} - i\epsilon} \right| \tau' \right\rangle, \quad (2.32)$$

where  $\hat{\mathcal{L}}$ ,  $\hat{R}$ , and  $\hat{T}$  are operators in the "state space"  $|\tau\rangle$ . Using the formula<sup>[7]</sup>

$$\frac{1}{A-B} = \frac{1}{A} + \frac{1}{A} B \frac{1}{A} + \frac{1}{A} B \frac{1}{A} B \frac{1}{A} + \dots \quad (2.33)$$

which is valid for arbitrary operators  $A$  and  $B$ , we obtain from (2.10) and (2.12)

$$\mathcal{J} = \Sigma \mathcal{J}_n = \langle 0 | \hat{R} | 0 \rangle$$

$$= \langle 0 | \hat{\mathcal{L}} + \hat{\mathcal{L}} \frac{1}{\hat{T} - \hat{\mathcal{L}} - i\epsilon} \hat{\mathcal{L}} | 0 \rangle. \quad (2.34)$$

It is easy to see that  $\hat{R}$  satisfies the equation

$$\hat{R} = \hat{\mathcal{L}} + \hat{\mathcal{L}} \frac{1}{\hat{T} - i\epsilon} \hat{R}, \quad (2.35)$$

which is the matrix form of the equation for  $R(\lambda\tau_1; \lambda\tau_2)$ :

$$\begin{aligned} R(\lambda\tau_1; \lambda\tau_2) = \mathcal{L}(\lambda\tau_1 - \lambda\tau_2) \\ + \frac{1}{2\pi} \int \mathcal{L}(\lambda\tau_1 - \lambda\tau) \frac{d\tau}{\tau - i\epsilon} R(\lambda\tau; \lambda\tau_2). \end{aligned} \quad (2.36)$$

Evidently, (2.36) goes over into (2.11) for  $\tau_2 = 0$ .

It is not difficult to see that the unitarity condition for the operator  $R(\lambda\tau_1; \lambda\tau_2)$  is of the form

$$\begin{aligned} R(\lambda\tau_1; \lambda\tau_2) - R^+(-\lambda\tau_1; -\lambda\tau_2) = \frac{1}{i(2\pi)^2} \int \frac{d\tau' d\tau''}{(\tau' - i\epsilon)(\tau'' - i\epsilon)} \\ \times [R(\lambda\tau'; \lambda\tau'') R^+(\lambda\tau' - \lambda\tau_1; \lambda\tau'' - \lambda\tau_2) \\ + R(\lambda\tau'; \lambda\tau_2 - \lambda\tau'') R^+(\lambda\tau' - \lambda\tau_1; -\lambda\tau'') \\ + R(\lambda\tau_1 - \lambda\tau'; \lambda\tau'') R^+(-\lambda\tau'; \lambda\tau'' - \lambda\tau_2) \\ + R(\lambda\tau_1 - \lambda\tau'; \lambda\tau_2 - \lambda\tau'') R^+(-\lambda\tau', -\lambda\tau'')]. \end{aligned} \quad (2.37)$$

Introducing the operators  $\hat{K}$  and  $P\hat{T}^{-1}$  with the condition

$$K(\lambda\tau) = \langle \tau | \hat{K} | 0 \rangle,$$

$$\frac{1}{2\pi} P \frac{1}{\tau} \delta(\tau - \tau') = \frac{1}{2\pi} \left\langle \tau \left| P \frac{1}{\hat{T}} \right| \tau' \right\rangle, \quad (2.38)$$

we find in complete analogy to the foregoing

$$\hat{K} = \hat{L} + \hat{L} \left( P \frac{1}{\hat{T}} \right) \hat{K}, \quad (2.39)$$

$$K(\lambda\tau) = \left\langle \tau \left| \hat{L} + \hat{L} \left( P \frac{1}{\hat{T} - \hat{L}} \right) \hat{L} \right| 0 \right\rangle. \quad (2.40)$$

Using (2.40) it is easy to show the hermiticity of the matrix  $K(0)$  without recourse to perturbation theory.

### 3. TRANSITION TO THE $\sigma$ REPRESENTATION

Let us make a Fourier transformation of (2.11) with respect to the variable  $\tau$ , setting

$$L(\sigma) = \frac{1}{2\pi} \int_{-\infty}^{\infty} \tilde{\mathcal{L}}(\lambda\tau) e^{i\tau\sigma} d\tau, \quad (3.1)$$

$$\mathcal{F}(\sigma; -\infty) = \frac{1}{2\pi i} \int_{-\infty}^{\infty} \frac{d\tau}{\tau - i\varepsilon} R(\lambda\tau) e^{i\tau\sigma}. \quad (3.2)$$

As a result we have

$$d\mathcal{F}(\sigma; -\infty)/d\sigma = L(\sigma) + iL(\sigma) \mathcal{F}(\sigma; -\infty),$$

or

$$dS(\sigma; -\infty)/d\sigma = iL(\sigma) S(\sigma; -\infty), \quad (3.3)$$

where, by definition,

$$S(\sigma; -\infty) = 1 + i\mathcal{F}(\sigma; -\infty). \quad (3.4)$$

It follows from (2.8) and (3.1) that  $\mathcal{L}(x)$  and  $L(\sigma)$  are related to one another through the so-called Radon transformation:<sup>[8]</sup>

$$L(\sigma) = \int \delta(\sigma - \lambda x) \mathcal{L}(x) dx. \quad (3.5)$$

It is seen from this that  $S(\sigma; -\infty)$  is the scattering matrix defined on the space-like plane  $\lambda x = \sigma$ , which in the special case  $\lambda = 0$  goes over into the plane  $x_0 = \text{const}$ .

It can be shown with the help of (3.2) and (3.4) that (2.23) is equivalent to the unitarity condition for  $S(\sigma; -\infty)$ :

$$S(\sigma; -\infty) S^+(\sigma; -\infty) = 1. \quad (3.6)$$

It is clear that the description of the  $S$  matrix in terms of  $\sigma$  is a simple consequence of the replacement of the function  $\theta(x^0)$  by  $\theta(\lambda x)$ . However, we go into this point in particular detail, because the generalization of this formalism, which will be our concern in what follows, is connected with the invariant parameter  $\sigma$  in an essential way.

We note that the quantity  $\sigma$  is equal to the distance from the origin to the plane  $\lambda x = \sigma$  owing to the condition  $\lambda^2 = 1$ . Using the known formula

$$\frac{1}{2\pi i} \frac{e^{i\tau\sigma}}{\tau - i\varepsilon} \rightarrow \begin{cases} \delta(\tau) & \sigma \rightarrow +\infty \\ 0 & \sigma \rightarrow -\infty \end{cases}, \quad (3.7)$$

we can show from (3.2) that

$$\mathcal{F}(\infty; -\infty) = R(0) = \mathcal{F}, \quad \mathcal{F}(-\infty; -\infty) = 0. \quad (3.8)$$

Let us now consider the motion of some system of particles which for  $\sigma = -\infty$  has the total four-momentum  $P_n$ . We choose a vector  $\lambda_n$  such that  $\lambda_n \parallel P_n$ . Since  $\lambda^2 = 1$ , this vector will have the physical meaning of the four-velocity of the system, and hence the parameter  $\sigma$  will be its proper time, as always  $x^0 = \sigma$  for  $\lambda = P = 0$ . Equation (3.3) is then appropriately called the proper time Schrödinger equation.

In concluding this section, we quote, without derivation, a formula which connects the operator  $R(\lambda\tau_1; \lambda\tau_2)$  introduced above with the operator  $\mathcal{F}(\sigma_1; \sigma_2)$  defined on the two finite planes  $\lambda x = \sigma_1$  and  $\lambda x = \sigma_2$ :

$$\mathcal{F}(\sigma_1; \sigma_2) = \frac{1}{(2\pi i)^2} \int_{-\infty}^{\infty} \frac{d\tau_1 d\tau_2}{(\tau_1 - i\varepsilon)(\tau_2 - i\varepsilon)} e^{i\tau_1\sigma_1 - i\tau_2\sigma_2} R(\lambda\tau_1; \lambda\tau_2). \quad (3.9)$$

### 4. CAUSALITY CONDITION

In this section it will be our aim to obtain a relation for the operator  $R(\lambda\tau)$  which would be equivalent to the relativistic causality condition.

The operator  $R(\lambda\tau)$  itself will in this section be denoted by  $R^{(-)}(\lambda\tau)$ , in view of the fact that the imaginary part of the denominator in (2.11) is negative.

We also introduce the operator  $R^{(+)}(\lambda\tau)$  by the defining equation

$$R^{(+)}(\lambda\tau) = \tilde{\mathcal{L}}(\lambda\tau) + \frac{1}{2\pi} \int \tilde{\mathcal{L}}(\lambda\tau - \lambda\tau') \frac{d\tau'}{\tau' + i\varepsilon} R^{(+)}(\lambda\tau'). \quad (4.1)$$

It is easy to show with the help of (2.36) and (4.1) that

$$[R^{(+)}(\lambda\tau)]^+ = R(0; \lambda\tau), \quad (4.2)$$

so that

$$[R^{(+)}(0)]^+ = R(0; 0) \equiv R(0). \quad (4.3)$$

In the following we need an equation for the operator  $(R^{(+)}(-\lambda\tau))^+$ , which is easily seen to

have the form<sup>3)</sup>

$$[R^{(+)}(-\lambda\tau)]^+ = \tilde{\mathcal{L}}(\lambda\tau) - \frac{1}{2\pi} \int [R^{(+)}(-\lambda\tau')]^+ \frac{d\tau'}{\tau' + i\epsilon} \tilde{\mathcal{L}}(\lambda\tau - \lambda\tau'). \quad (4.4)$$

The development to follow is wholly reminiscent of the derivation of the unitarity condition given in Sec. 2. We first write (2.11) and (4.4) in the form

$$R^{(-)}(\lambda\tau) = \tilde{\mathcal{L}}(\lambda\tau) + \frac{1}{2\pi} \int \tilde{\mathcal{L}}(\lambda\tau') F_1(\tau - \tau') d\tau', \quad (4.5)$$

$$[R^{(+)}(-\lambda\tau)]^+ = \tilde{\mathcal{L}}(\lambda\tau) + \frac{1}{2\pi} \int F_2(\tau' - \tau) \tilde{\mathcal{L}}(\lambda\tau') d\tau', \quad (4.6)$$

where

$$F_1(\tau - \tau') = \frac{1}{2\pi} \frac{R^{(-)}(\tau - \tau')}{\tau - \tau' - i\epsilon},$$

$$F_2(\tau' - \tau) = \frac{1}{2\pi} \frac{[R^{(+)}(\tau' - \tau)]^+}{\tau' - \tau - i\epsilon}. \quad (4.7)$$

From (4.5) and (4.6) we find

$$R^{(-)}(\lambda\tau) - [R^{(+)}(-\lambda\tau)]^+ = \int \tilde{\mathcal{L}}(\lambda\tau') F_1(\tau - \tau') d\tau' - \int F_2(\tau' - \tau) \tilde{\mathcal{L}}(\lambda\tau') d\tau'. \quad (4.8)$$

On the other hand,

$$\int \tilde{\mathcal{L}}(\lambda\tau') F_1(\tau - \tau') d\tau' - \int F_2(\tau' - \tau) \tilde{\mathcal{L}}(\lambda\tau') d\tau' = \int [R^{(+)}(-\lambda\tau')]^+ F_1(\tau - \tau') d\tau' - \int F_2(\tau' - \tau) R^{(-)}(\lambda\tau') d\tau'. \quad (4.9)$$

since

$$\int F_2(\tau'' - \tau) \tilde{\mathcal{L}}(\lambda\tau') F_1(\tau'' - \tau') d\tau' d\tau'' = \int F_2(\tau' - \tau'') \tilde{\mathcal{L}}(\lambda\tau') F_1(\tau - \tau'') d\tau' d\tau'' = \int F_2(\xi) \tilde{\mathcal{L}}(\lambda(\tau + \xi - \eta)) F_1(\eta) d\xi d\eta.$$

Hence we have from (4.7), (4.8), and (4.9)

$$R^{(-)}(\lambda\tau) - [R^{(+)}(-\lambda\tau)]^+ = \frac{1}{2\pi} \int \frac{d\tau'}{\tau' - i\epsilon} [(R^{(+)}(\lambda\tau' - \lambda\tau))^+ R^{(-)}(\lambda\tau') - (R^{(+)}(\lambda\tau'))^+ R^{(-)}(\lambda\tau' + \lambda\tau)]. \quad (4.10)$$

The relation (4.10), considered for any  $\lambda$  satisfying (2.4), will be called the causality condition. This name will become understandable when we go over to the  $\sigma$  representation in (4.10). Using the definitions (3.2), (3.9) and the relation (4.2),

<sup>3)</sup>Substituting (4.2) in (4.4), we can obtain an equation for  $R(0; \lambda\tau)$ :

$$R(0; \lambda\tau) = \tilde{\mathcal{L}}(-\lambda\tau) + \frac{1}{2\pi} \int R(0; \lambda\tau') \frac{d\tau'}{\tau' - i\epsilon} \tilde{\mathcal{L}}(\lambda\tau' - \lambda\tau).$$

we have instead of (4.10)

$$S(\infty; \sigma) S(\sigma; -\infty) = S(\infty; -\infty), \quad (4.11)$$

i.e., the so-called group property of the scattering matrix. Of fundamental importance is here the circumstance that the plane  $\lambda x = \sigma$  on which the operators  $S(\infty; \sigma)$  and  $S(\sigma; -\infty)$  are defined is, in view of the arbitrariness in the choice of  $\lambda$ , an arbitrary space-like plane through the point  $x$ . From this follows the relativistic invariance of the condition (4.11) and hence of (4.10).

The possibility of choosing freely the vector  $\lambda$  in (4.11) guarantees the correct S matrix description not only of events which are causally connected but also of events in mutually space-like regions of four-space. The first assertion is obvious and we shall therefore discuss only the second.

Let  $g_1(x)$  and  $g_2(x)$  be regions in which "the interaction is switched on"<sup>[9]</sup> such that all points  $g_1$  are space-like relative to the points  $g_2$ :

$$g_1(x) \sim g_2(x). \quad (4.12)$$

Owing to (4.12) one can always find two vectors  $\lambda_1$  and  $\lambda_2$  such that the regions  $g_1$  and  $g_2$  lie on different sides of each of the planes  $\lambda_1 x = \sigma_1$  and  $\lambda_2 x = \sigma_2$  [cf. the figure]. This leads to the obvious equations

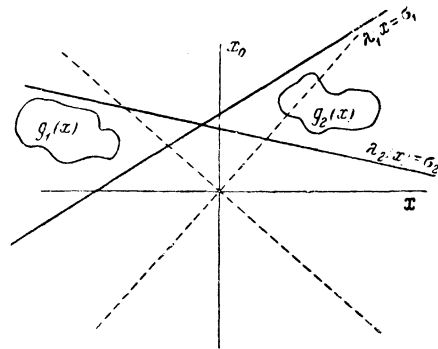
$$S(g_1) = S(\infty; \sigma_1), \quad S(g_2) = S(\sigma_1; -\infty), \quad (4.13)$$

$$S(g_1) = S(\sigma_2; -\infty), \quad S(g_2) = S(\infty; \sigma_2). \quad (4.14)$$

Using (4.13) and (4.14), we finally find from (4.11)

$$S(g_1 + g_2) = S(g_1) S(g_2) = S(g_2) S(g_1), \quad (4.15)$$

if  $g_1 \sim g_2$ .



Thus the relation (4.10) is indeed equivalent to the relativistic causality condition. We note that (4.10) reduces to the identity  $0 = 0$  on the mass shell  $\tau = 0$ .<sup>4)</sup> This is in accordance with the known circumstance that the formulation of the

<sup>4)</sup>To show this, use must be made of Eq. (4.3).

causality principle in field theory requires going into the nonphysical region.

In a subsequent paper we shall consider the diagram technique for solving Eq. (2.11) by perturbation theory.

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