Spin states in the Peierls model, and finite-band potentials

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Doubly periodic solutions are constructed in the one-dimensional Peierls continuum model with arbitrary number ρ of electrons per atom. The energy of the ground state of the system is obtained as a function of the **concentration of the total number of particles and of the spin angular momentum. The dependence of the magnetic moment** *m* **on the external field** *h* **is investigated. This dependence is characterized by a critical value** $h_c \neq 0$ such that a spontaneous moment is produced in the system at $h > h_c$. As $m \rightarrow 0$, solitons that **carry out localized spin states are considered against the background of the periodic structure. These solitons correspond to single-particle states of a system with one extra particle. The triplet and electron-hole excitations are each a sum of two single-particle states. The soliton charge q,, in contrast to the spin, is** excitations are each a sum of two single-particle states. The soliton charge q_s , in contrast to the spin, is partially screened at $\rho \neq 1$, so that in the Frohlich model, at $|\rho - 1| \geq \Delta/\varepsilon_F$, the local charge vanish partially screened at $\rho \neq 1$, so that in the Frohlich model, at $|\rho - 1| \geq \Delta/\varepsilon_F$, the local charge vanishes like $q_s \sim e\Delta/\varepsilon_F |\rho - 1|$, where Δ is the gap on the Fermi surface, ε_F is the Fermi energy at $\rho = 1$ **electron charge.**

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1. INTRODUCTION

Most quasi-one-dimensional conductors reveal lattice superstructures that lead to the appearance of a gap *E,* on the Fermi surface of the electrons. The theory of this phenomenon is frequently based on the Peierls model. ' In this model, the direct interaction between the electrons is left out, but account is taken of the lattice-deformation potential $\Phi(x)$. In addition, it is assumed² that the frequency ω_{ph} corresponding to the deformation mode is small compared with E_{ε} : $\omega_{\rm ph} \ll E_{\varepsilon}$. This condition makes it possible to consider lattice deformations as static and to disregard quantum effects.² As a result, the Peierls model is compatible with a correct investigation on the basis of the self-consistent-field approximation. The adiabaticity conditions are well satisfied in quasi-one-dimensional compounds of the KCP family and in polyacetylene, where $E_r / \omega_{ph} \sim 10$. It is important that the same compounds are distinguished by a large width of the electron band $t \gg E_e$, as a result of which the neglect of the repulsion of the electrons at one site is justified, whereas the Coulomb long-range action is effectively screened.

The properties of the Peierls model depend essentially on the number and degree of filling of the electron bands in the metallic phase. Investigations of the continuum models for one electron band²⁻⁴ show that the wave vector $2\pi/l$ of the superstructure $\Phi(x)$ coincides, accurate to the wave vector $2\pi/a$ of the reciprocal lattice of the main structure, with the diameter $2k_F$ of the Fermi surface in the metallic phase. Such a superstructure can be regarded as singly periodic.

At the same time, many physical problems call for the investigation of quasiperiodic lattice deformations, which are characterized by incommensurate wave numbers $Q_i = 2\pi/l_i$. In the ground state of the system, different periods l_i could appear if the metallic phase contained several groups of electrons characterized by different Fermi momenta $k_F^{(i)}$. Such a problem arises, obviously, for a system in a strong magnetic field, when $k_{\mathbf{F}} \mathbf{t} \neq k_{\mathbf{F}} \mathbf{t}$ for different spin projections $\sigma_z = \mathbf{t} \mathbf{t}$.

Another possibility can be realized in organic conductors with complex molecules that contain usually large numbers (M) of π electrons. In these systems, the appearance of several unfilled bands can occur at $M \geq T/t_{\text{u}}$, where T and t_{u} are the hopping integrals between neighboring atoms in the molecule and between neighboring molecules in the conducting chain, respectively. Typically, $T \le 10$ eV, $t_n \sim 0.5-1$ eV and $M \sim 5-15$, i.e., the condition that many bands are present can actually be satisfied.

Quasiperiodic solutions are likewise a convenient means of finding solitons against the background of periodic structure, by going to the limit of infinite periods $l_i \rightarrow \infty$, $i > 1$ at a fixed fundamental period of the superstructure l_1 . Investigations of the solitons are necessary to determine the electronic excitations in the system (see the review⁵). From along the known periodic solutions, $3,4$ this limiting transition was used to obtain spinless charge excitations at $\rho = 1$ (domain walls³) and at $\rho=0$ (polarons⁴). To determine the spectrum of spin excitations at arbitrary ρ it is already necessary to use doubly periodic structures.

The most interesting changes in the properties of a system occur in the concentration region $|\rho - 1| \ll 1$ adjacent to the limit of the half-filled band $\rho = 1$. This region corresponds to the experimental parameters of the intensively investigated doped polyacetylene $6,7$ trans-(CH)_{\cdot}. At $\rho \approx 1$ the essential wave vectors of the lattice deformations lie near the boundary of the Brillouin zone $\pm \pi/a$, as a result of which it is necessary to take exact account in the electron-phonon interaction the umklapp process of lowest (second) order.⁸ At the same time, one can neglect the umklapp processes of higher order $k > 2$ which arise at $p \neq 1$ and whose amplitudes have a relative smallness^{8,9}

 $(E_e/t)^{k-2} \ll 1$, $k>2$.

As a result we arrive at a continuum model³ that contains a real deformation field $\Delta(x)$

$$
\Phi(x) \sim \Delta(x) \cos(\pi x/a), \quad \Delta(x) \big|_{\rho=1} = \Delta_1 = \text{const}, \tag{1}
$$

where the function $\Delta(x)$ varies slowly over distances of the order of the interatomic distance a.

At a large value of the concentration

$$
n=|\rho-1|/a\gg \Delta_1/v_F, \qquad |\rho-1|\gg \Delta_1/t, \qquad (2)
$$

where v_F is the Fermi velocity of the center of the band of the metal, the Fröhlich limit is reached,¹⁰ at which the influence of the fundamental lattice becomes insignificant. In this limit it is possible^{2,7} to describe the system by a complex field $\tilde{\Delta}(x)$:

$$
\Phi(x) \sim \text{Re}\,\Delta(x) \, \exp\left(2ik_{\rm F}x\right),\tag{3}
$$

where $\tilde{\Delta}(x)$ varies slowly over distances of the order of

$$
l=2\pi/Q
$$
, $Q=|2k_F-\pi/a|=|\rho-1|\pi/a$.

The transition between the two limits takes place³ continuously by development of the superstructure $\Delta(x)$ in (1) from a soliton lattice to an almost sinusoidal deformation **(3).**

The elementary electronic excitations cannot be band states in the potential of the superstructure $\Delta(x)$ of the ground state, because of the strong self-localization effect¹¹ (see the review⁵). At $\rho = 1$ there are¹²⁻¹⁴ spinless (s = 0) excitations with charge $q = \pm e$, or else uncharged excitations $(q=0)$ with spin $s=1/2$ of the domain-wall type

$$
\Delta_{\bullet}(x) = \Delta_{\bullet} \th \frac{x}{\xi_{\bullet}}, \quad \xi_{\bullet} = \frac{v_{r}}{\Delta_{\bullet}}, \quad E_{\bullet} = \frac{2\Delta_{\bullet}}{\pi}, \tag{4}
$$

with energy $E_s < \Delta_i$, as well as symmetrical polarons15-l7 (bound states of an electron and two walls), which carry both a charge $\pm e$ and a spin 1/2, with energy E_p :

$$
\Delta_{\mathfrak{p}}(x) = \Delta_1 \left\{ 1 - \frac{\sqrt{2}}{\operatorname{ch}(x\sqrt{2}/\xi_1) + \sqrt{2}} \right\}, \quad E_{\mathfrak{p}} = 2^{\nu_\mathfrak{p}} \Delta_1/\pi. \tag{5}
$$

We note that

$$
E_{\bullet}
$$

In the limit of the Fröhlich model (2), (3) there are electronic excitations of only one type $11,13,18$ which carry a spin but are not charged:

$$
s=1/2
$$
, $q'=0$, $\Delta(x) = \Delta_{\rho} e^{i\phi} \text{ th} \frac{(x-x_0)}{\xi}$, $\xi = \frac{\nu_r}{\Delta_{\rho}}$, $E_r = \frac{2\Delta_{\rho}}{\pi}$, (6)

where E_s is the excitation (soliton) energy, and φ and x_0 are arbitrary constants.

Thus, the question arises of the change of the excitation spectrum with changing **p.** It is seen from the preceding paper³ that at $\rho \neq 1$ the charged walls (4) with $q = \pm e$, $s = 0$ cannot be regarded as excitations of the system, since they make up the periodic superstructure of the ground state of $\Delta(x)$. It follows from our results¹⁵ that the wall (4) with $q=0$, $s=1/2$ cannot be stable in the presence of another wall with $q = \pm e$, $s = 0$. Two walls of the type (4) with $s = 0$ and $s = 1/2$ should coalesce into a polaron (5), with an energy gain

$$
\delta E = 2E_s - E_p = 4\Delta_1/\pi - 2^{\lambda_2}\Delta_1/\pi > 0.
$$

It is therefore natural to assume that with increasing ρ the character of the single-electron excitations

FIG. 1.

changes gradually from type (5) at $n \ll \Delta_1/v_F$ to type (6) at $n \gg \Delta_1/v_F$.

A solution of this problem will enable us to determine the change of the system energy $W(N)$ when the number N of the electrons is changed by unity, i.e., to find the chemical potential

 $u = W(N+1) - W(N)$.

We shall obtain simultaneously the triplet excited spin states of the system.

We construct in this paper doubly periodic solutions in the Peierls one-dimensional continuum model with the number ρ of the electrons per atom satisfying the condition $|\rho - 1| \ll 1$. We obtain the energy of the ground state of this system $W(n, m)$ as a function of the concentration of the total number of particles $n = n$, $+n_1 = |\rho - 1|/a$ and of the spin moment $m = n_1 - n_1$, where n_i and n_i are the concentrations of the electrons with different spin projections on the direction of the magnetic field H . We investigate the function $m(H)$, which is characterized by a critical value $H = H_c$ such that at $H > H_c$ a spontaneous moment $m \neq 0$ is produced. At $m \neq 0$ the superstructure of the lattice becomes doubly periodic, and an additional allowed and additional forbidden band appear in the vicinity of the Fermi level (Fig. 1).

We consider, as $m \rightarrow 0$, solitons against the background of a singly periodic superstructure, which carry localized spin states. We investigate the solitoncharge screening, which turns out to be negligible at $n \ll \xi_1^{-1}$ and almost complete at $n \gg \xi_1^{-1}$ in accordance with (4) and (6).

2. GENERAL RELATIONS

We write down the electron wave functions $\psi(x)$ in the form

m
\n
$$
\psi(x) = 2^{n} \left[u(x) \cos \frac{\pi x}{2a} + i v(x) \sin \frac{\pi x}{2a} \right].
$$
\n(7)

The components of the spinor $\bar{\psi} = (u, v)$ and the potential $\Delta(x)$ from (1) are connected by an equation for the eigenvalues of the energy E of the electrons:

$$
u_{\mathbf{z}}' - \Delta u_{\mathbf{z}} = iE v_{\mathbf{z}}, \qquad v_{\mathbf{z}}' + \Delta v_{\mathbf{z}} = iE u_{\mathbf{z}} \tag{8}
$$

(the prime denotes the differentiation with respect to x). Here and elsewhere the Fermi velocity is equal to unity. From (8) we obtain the equivalent equations

$$
u_{\varepsilon}'' + (E^2 - p) u_{\varepsilon} = 0, \qquad p = \Delta^2 + \Delta';
$$

\n
$$
v_{\varepsilon}'' + (E^2 - q) v_{\varepsilon} = 0, \qquad q = \Delta^2 - \Delta'.
$$
 (9)

The energy functional W of the system is of the form

$$
W\{\Delta(x), f_{\sigma}(E)\} = \sum_{E,\sigma} f_{\sigma}(E)E + \int \frac{\Delta^2(x)}{g^2} dx, \tag{10}
$$

where $f_n(E)$ are the occupation numbers of the states with energy E and spin σ , and take on the values $f_{\sigma}(E)$ $= 0.1$, while g is the electron-phonon interaction constant. The stationary state of the system is determined from the condition that the functional (10) be an extremum with respect to the field $\Delta(x)$ for specified total numbers of the particles $N_a = n_a L$, where L is the length of the system.

We introduce the Fermi levels μ_{σ} and the thermodynamic potential $\tilde{W}(\mu_{\alpha})$ that depends on them:

$$
\frac{\delta W}{\delta \Delta(x)}\Big|_{\mu_{\sigma}} = 0, \quad W(\mu_{\sigma}) = W - \sum_{\sigma} \mu_{\sigma} N_{\sigma},
$$
\n
$$
\mu_{\sigma} = \mu \pm h, \quad h = \mu_{\sigma} H,
$$
\n(11)

where μ and H are the total chemical potential and the external magnetic field, while μ_B is the Bohr magneton. The variation in (11) must be carried out with specified distribution functions $f_a(E)$. These functions are determined further from the condition that the free energy of the system $\vec{F}=\vec{W}-TS$ be a minimum, where T is the temperature and S the entropy of the system. Confining ourselves only to the limit $T = 0$, we must assume that

$$
f_{\sigma}(E) = \begin{cases} 1, & E < \mu_{\sigma} \\ 0, & E > \mu_{\sigma} \end{cases}.
$$

For the variation of (10) with respect to $\Delta(x)$, we note that in accordance with (9)

$$
\frac{\delta q\left(x\right)}{\delta \Delta\left(y\right)} = \delta\left(x - y\right) \left[2\Delta\left(x\right) + \frac{d}{dx}\right], \quad \frac{\delta E^2}{\delta q\left(x\right)} = 2v\left(x\right)v\left(x\right). \tag{12}
$$

Account is taken here of a fact that can be derived from (8), namely that the components $u(x)$ and $v(x)$ are independently normalizable:

$$
\int u'(x)u(x)dx = \int v'(x)v(x)dx = \frac{1}{2}.
$$
 (13)

With the aid of (12) we obtain from (10) and (11)

$$
\frac{\delta \mathcal{W}}{\delta \Delta(x)} = \frac{2}{g^2} \Delta(x) + \sum_{\mathbf{g}, \sigma} E^{-1} f_{\sigma}(E) \left[2\Delta + \frac{d}{dx} \right] v_{\mathbf{g}}(x) v_{\mathbf{g}}(x) = 0. \quad (14)
$$

From (8) it follows that

$$
\Delta(x) = -v_0'(x)/v_0(x), \quad q(x) = v_0''(x)/v_0(x),
$$

\n
$$
v_0(x) = v(x)|_{x=0}.
$$
\n(15)

Using (15) , we can write Eq. (14) in the form

$$
\frac{d}{dx}v_0^{-2}(x)\left[\sum_{g,\sigma}E^{-1}f_\sigma(E)|v_E(x)|^2+g^{-2}\right]=0.\tag{16}
$$

Equation (16) can be integrated and the integration constant can be determined from the normalization condition (13). We obtain

$$
\sum_{\mathbf{z},\mathbf{z}} E^{-t} f_{\sigma}(E) \left[|v_{\mathbf{z}}(x)|^2 - v_{\mathbf{z}}^2(x) \right] + \frac{1}{g^2} \left(1 - 2Lv_{\mathbf{z}}^2(x) \right) = 0. \tag{17}
$$

All we lose on going from (14) to (17) are the self-consistency conditions on the particular case of the homogeneous solution

 $|v_{E}(x)| = (2L)^{-\frac{1}{2}}$

corresponding to $\rho = 1$.

121 1 Sov. Phys. JETP 54(6), Dec. 1981

Substituting (15) in the second equation of (9), we obtain

$$
\frac{v_{\rm g}}{v_{\rm g}} - \frac{v_{\rm 0}}{v_{\rm 0}} + E^2 = 0, \quad \frac{v_{\rm 0}}{v_{\rm 0}} = q(x). \tag{18}
$$

The system (18) and (16) or (17), with allowance for the normalization condition (13), determines the sought set of wave functions. We shall show that this system has solutions in the class of finite-band potentials $q(x)$. The Schrödinger equations (18), whose spectrum has only a finite number of forbidden bands, have been investigated in detail (see the reviews^{19,20}) in connection with solutions of the Korteweg-de Vries (KdV) equations with respect to $q(x)$. Our problem calls for additional account of the functional connection (16), (17) between the solutions $v_{\kappa}(x)$. It turns out that for finiteband potentials Eqs. (17) reduces to an algebraic equation.

We shall consider specifically the case of interest to us, that of a spectrum with two forbidden bands $G_{1,2}$, but the derivation presented below can be generalized in elementary fashion to the case of an arbitrary number of forbidden bands. We define the boundaries of the spectrum $E_{\alpha} = (E_-, E_1, E_2, E_+)$ as shown in Fig. 1, where the forbidden bands $G_{1,2}$ are hatched:

$$
G_1
$$
: $E^{-2} \leq E^2 \leq E_1^2$, G_2 : $E_2^2 \leq E^2 \leq E_2^2$.

According to Novikov *et* al. 19'21 the two-band potential $q(x)$, its eigenfunctions $v_{\mathcal{R}(x)$, and the state density $dN(E^2)/dE^2$ are expressed in terms of two (in accord with the number of forbidden bands) functions $\gamma_{1,2}(x)$ which are defined in the regions of the forbidden bands $G_{1,2}$:

$$
E_{-}^{2} \leq \gamma_{1}(x) \leq E_{1}^{2}, \quad E_{2}^{2} \leq \gamma_{2}(x) \leq E_{+}^{2},
$$

namelv

$$
v_{E}(x) = \left[\frac{(E^{2} - \gamma_{1}(x)) (E^{2} - \gamma_{2}(x))}{2LA(E)}\right]^{y_{2}} \exp\left\{\pm i \int \frac{R^{y_{2}}(E^{2}) dx}{(E^{2} - \gamma_{1}(x)) (E^{2} - \gamma_{2}(x))}\right\}
$$
\n(19)
\n
$$
R(\epsilon) = \epsilon (e - E_{-}^{2}) (e - E_{1}^{2}) (e - E_{2}^{2}) (e - E_{+}^{2}).
$$

The normalization coefficient $A(E)$ in (19) is equal to

$$
A(E) = \langle | (E^2 - \gamma_1(x)) (E^2 - \gamma_2(x)) | \rangle, \tag{20}
$$

Here and below $\langle \cdots \rangle_L$ denotes averaging over the length of the system:

$$
\langle F(x) \rangle = \frac{1}{L} \int_{0}^{L} F(x) dx.
$$

For the potentials we have

$$
q(x) = E_{+}^{2} + E_{-}^{2} + E_{1}^{2} + E_{2}^{2} - 2(\gamma_{1}(x) + \gamma_{2}(x)),
$$

\n
$$
\Delta(x) = -\frac{1}{2} \frac{d}{dx} \ln[\gamma_{1}(x)\gamma_{2}(x)].
$$
\n(21)

The number of states dN in the interval dE^2 is

$$
dN = \frac{Ldp}{2\pi} = \frac{LdE^2}{2R^{\prime\prime}(E^2)} \langle |(E^2 - \gamma_1(x))(E^2 - \gamma_2(x))| \rangle, \tag{22}
$$

where

$$
p(E^2\{-\pm R^{\nu_1}(E^2)\langle{E^2-\gamma_1(x)}(E^2-\gamma_2(x))\}^{-1}\rangle \qquad (23)
$$

is the wave vector of the function (19). With the aid of (20) we can rewrite (22) in the form

$$
\frac{dN}{dE^*} = \frac{LA(E)}{4R^h(E^*)}.
$$
\n(24)

The following important additional conditions also apply19,20

$$
\int_{\mathfrak{a}_t} dN(E^2) = 0. \tag{25}
$$

Equations (25) ensure uniqueness of the momentum $p(E^2)$ continued from the intervals of the forbidden bands to the Riemann surface $v^2 = R(E^2)$.

We present also for completeness differential equations that determine the family of functions

 $\gamma_i' = \pm 2iR^{\gamma_i}(\gamma_i)/(\gamma_2-\gamma_i)$.

In this case $q(x)$ satisfies the second KdV equation

$$
q^{(IV)}-5(q')^2-10qq'+10q^3+C_1q=C_2.
$$

It is remarkable that in the subsequent calculations no use is made of the coordinate dependences or of the equations for the functions $\gamma_{1,2}(x)$.

We substitute (19) in (16) and (17). We then obtain from (17)

$$
\frac{1}{L} \sum_{\mathbf{z}, \sigma} \frac{f_{\sigma}(E)}{E} \left[\frac{|E^2 - \gamma_1(x)| |E^2 - \gamma_2(x)|}{A(E)} - \frac{\gamma_1(x)\gamma_2(x)}{A(0)} \right] + \frac{1}{g^2} \left[1 - \frac{\gamma_1(x)\gamma_2(x)}{A(0)} \right] = 0.
$$
\n(26)

Equation (26) is of the form

$$
B_{1}+B_{2}(\gamma_{1}(x)+\gamma_{2}(x))+B_{3}\gamma_{1}(x)\gamma_{2}(x)=0,
$$
\n(27)

where B_1, B_2, B_3 are constants. In analogy with (16) we obtain

$$
\frac{d}{dx}\left[B_{1}\gamma_{1}^{-1}\gamma_{2}^{-1}+B_{2}(\gamma_{1}^{-1}+\gamma_{2}^{-1})\right]=0,
$$

whence, with allowance for (21),

$$
B_1\Delta^{\prime} + B_2(\Delta^{\prime\prime\prime} - 6\Delta^2\Delta^{\prime}) = 0. \tag{28}
$$

The solution of Eq. (28), which is of the form of a modified KdV equation, can be only a singly periodic potential³ with one forbidden band $E^2 \leq E^2 \leq L^2$. To obtain a potential with two forbidden bands it is necessary to require $B_1 = B_2 = B_3 = 0$. As a result we obtain from (26) three self-consistency conditions:

$$
B_{i} = \frac{1}{\sigma^{2}} + \frac{1}{L} \sum_{\mathbf{z}, \sigma} f_{\sigma}(E) \frac{E^{2}}{A(E)} sign[R(E^{2})] = 0,
$$
 (29)

$$
B_2 = \frac{1}{L} \sum_{\pmb{x}, \pmb{\sigma}} f_{\pmb{\sigma}}(E) \frac{E}{A(E)} \text{sign}[R(E^{\pmb{z}})] = 0, \tag{30}
$$

$$
B_{\rm s} = -\frac{1}{g^2 A(0)} + \frac{1}{L} \sum_{E,\sigma} \frac{f_{\sigma}(E)}{E} \left[\frac{\text{sign}[R(E^{\rm s})]}{A(E)} - \frac{1}{A(0)} \right] = 0. \tag{31}
$$

Using the relations (19), we find that Eqs. $(29)-(31)$ are linearly dependent. We shall use therefore hereafter only two of them.

The investigated state of the system is characterized by six parameters: μ_{σ} , $\sigma =$ **+** \ast and E_i (*i* = 1, 2, +, -). Equations (30) and (31) and the conservation laws for the number of particles with each spin projection

$$
N_c = L n_c = \sum_{\mathbf{z}} f_s \tag{32}
$$

imposes for constraints on these parameters, which define, say, E_i in terms of μ_q . The remaining two parameters are determined from the condition that the total energy of the system (10) on the class of two-band potentials be a minimum, or else from the extremum condition on a larger class of potentials.

We assume in this paper that the Fermi levels μ_{σ} pass through the forbidden bands of the potential. This assumption is sufficient for the investigation of the single-electron states, when we must consider remote solitons with a local level E_0 :

$$
E_{1}\rightarrow E_{2}\rightarrow E_{2}.
$$

In this case

$$
E_{+}^2 > \mu_1^2 > E_0^2
$$
, $E_0^2 > \mu_1^2 > E_{-}^2$

This assumption is natural also in strong fields $h \gg \Delta_1$, $m \gg \Delta_1/v_F$. In this limit the deformations $2k_F$ and $2k_F^{\dagger}$, and accordingly the gaps $G_{\bf k} = \{E^2_{\bf k}, E^2_{\bf k}\}$ and $G_1 = \{E_1^2, E_2^2\}$ on the Fermi levels and should be approximately independent.

We shall assume hereafter that $\rho < 1$, i.e., that the electron band is on the whole less than half filled (the case $\rho > 1$ differs only in that the electrons are replaced by holes). This means that the bands $E > E_+$ and E_{-} > E > $-E_{-}$ are not filled: $n_{\sigma}(E) = 0$ at E > $-E_{-}$. Next, the band $E < -E$, is always filled for both spin components: $n_{\sigma}(E) = 1, E \le -E_{\sigma}$, $\sigma = \tau_{\sigma}$, i.e., each band state is doubly filled. The filling of the additional band $-E_1$ > E > $-E_2$ should be different, depending on the locations of the levels μ_{σ} . For the state-filling multiplicity of this band $v = n_1(E) + n_1(E)$, $-E_1 > E > -E_2$ we have

$$
v = \begin{cases} 0, & -E_2 > \mu_{11} > -E_+ \\ 2, & -E_- > \mu_{11} > -E_1, \\ 1, & -E_- > \mu_1 > -E_1, \\ -E_- > \mu_1 > -E_1, & -E_2 > \mu_i > -E_+ . \end{cases} (33a)
$$

We consider now the self-consistency condition (30) at an arbitrary value of ν . Changing from summation over E to integration, we obtain with the aid of (24)

$$
-2\int_{\mathcal{Z}_{\epsilon^2}}^{\infty} \tilde{R}^{-\frac{1}{2}}(\epsilon) d\epsilon + v \int_{\mathcal{Z}_{\epsilon^2}}^{\mathcal{Z}_{\epsilon^2}} \tilde{R}^{-\frac{1}{2}}(\epsilon) d\epsilon = 0, \qquad (34)
$$

$$
\mathbf{R}(\epsilon) = (\epsilon - E_{+}^{2}) (\epsilon - E_{-}^{2}) (\epsilon - E_{1}^{2}) (\epsilon - E_{2}^{2}). \tag{34a}
$$

Calculating the integrals in (34), we obtain

$$
\frac{E_2^2 - E_-^2}{E_+^2 - E_-^2} = \operatorname{sn}^2\left(\frac{\nu}{2}K(p); p\right), \quad p^2 = \frac{(E_2^2 - E_1^2)(E_+^2 - E_-^2)}{(E_+^2 - E_1^2)(E_2^2 - E_-^2)}.
$$
(35)

Here $K(p)$ is an elliptic integral of the first kind. From (35) we obtain the following results:

a) $v=0$: $E_2^2=E^2$, i.e., the empty additional band ${-E_1, -E_2}$ is clamped and attached to the empty band ${E, -E}$ above it;

b) $v=2$: $E_2^2=E_1^2$, **i.e.**, the completely filled additional band is attached, retaining the finite width corresponding to the particle number, to the completely filled band $\{E \leq -E\}$ below it;

c) $\nu=1$: it follows from (35) that

$$
E_{+}^{2}+E_{-}^{2}=E_{1}^{2}+E_{2}^{2}=2E_{0}^{2},\tag{36}
$$

i.e., the bands have a common center designated E_0^2 in (36).

The results of items a) and b) show that in a singleband ($m = 0$) Peierls state the Fermi level $\mu_1 = \mu_2$ can pass only through the forbidden band. In the opposite case the system is absolutely unstable with respect to a difference between the state densities on the Fermi level, with subsequent joining together of equally filled bands. These results agree with the conclusion arrived at earlier^{11,13} in the Fröhlich limit $|\rho - 1|$ - 1, that there are no activation charge excitations, despite the presence of a gap in the state spectrum of the rigid potential. We note that the usual picture of degenerate semiconductors would correspond precisely to location of the Fermi level in an allowed band.

We consider now the self-consistency condition (29) or (31). We subtract from (29) Eq. (30) multiplied by E_6^2 , and change from summation to integration with the aid of (13). We obtain
 $\frac{1}{\sigma^2} - \int_0^{-2\pi} dE \frac{E^2(E^2 - E_0^2)}{\pi R^2(E^2)} + \frac{1}{2} \int_0^{-2\pi} \frac{E^2(E^2 - E_0^2)}{\pi R^2(E^2)} dE = 0.$ (37 aid of (13). We obtain

$$
\frac{1}{g^2} - \int_{-E_m}^{-E^*} dE \frac{E^2 (E^2 - E_0^2)}{\pi R^{\nu_0}(E^2)} + \frac{1}{2} \int_{-E_1}^{-E_1} \frac{E^2 (E^2 - E_0^2)}{\pi R^{\nu_0}(E^2)} dE = 0.
$$
 (37)

When account is taken of relations (36), it is easy to find that the last term in (37) vanishes. The logarithmic dependence on the cutoff energy E_m is eliminated if one subtracts from (37) the same equation at $\rho = 1$. when $E_1 = E_2 = E_0 = 0$, $E_1 = \Delta_1$. As a result we obtain from (37), subject to the condition (36), a second relation

$$
(E_{+}^{2}-E_{-}^{2})^{2}-(E_{2}^{2}-E_{1}^{2})^{2}=\Delta_{1}^{4}.
$$
\n(38)

It shows that the interval (E_1^2, E_2^2) , which stems from the forbidden band of the single-band potential, broadens when an additional allowed band (E_1^2, E_2^2) is included. At $E_1 = E_2$ Eq. (38) goes over into the relation $E_+^2 - E_-^2$ $=\Delta_1^2$, which is equivalent to the result of the earlier paper.³

Relations (36) and (38) impose two constraints on the four parameters E_i , $i=+,-,1,2$. The free parameters of the band structure can be connected with the particle densities n_a or with the limiting momenta of the bands *p+* and *p-* (Fig. 2):

$$
n=n_{+}+n_{+}=n^{-1}(2p_{-}+p_{+}-p_{-})=n^{-1}(p_{+}+p_{-}),
$$

\n
$$
m=n_{+}-n_{+}=(p_{+}-p_{-})/\pi,
$$
\n(39)

where

$$
p_{-} = \int_{0}^{E_{-}} \frac{dp}{dE^{2}} dE^{2}, \quad p_{+} - p_{-} = \int_{E_{1}^{2}}^{E_{2}^{2}} \frac{dp}{dE^{2}} dE^{2}.
$$
 (40)

We introduce the notation

$$
z = E^2 - E_0^2, \quad 2a = E_+^2 - E_0^2, \quad 2b = E_2^2 - E_1^2 \tag{41}
$$

[from (38) we have $a^2 - b^2 = \Delta_1^2/4$] and use the relation

(36). Equation (24) then takes the form

$$
\frac{dp}{dz} = \frac{z^2 + \langle q \rangle z/2 - A_0}{2Q^{\prime \prime}(z)}, \quad Q(z) = (z + E_0^2) (z^2 - a^2) (z^2 - b^2).
$$
 (42)

The quantities q and $A_0 = A(E_0)$ are defined in (11) and in (10a). The coefficients $\langle q \rangle$ and A_0 in (42) can be obtained from the conditions (25).

We define the family of hyperelliptic integrals

$$
I_n^{+}(z_1, z_2) = \int_{z_1}^{z_1} \frac{z^n dz}{\left| \left(E_0^2 \pm z \right) \left(z^2 - a^2 \right) \left(z^2 - b^2 \right) \right|^{v_n}}
$$
(43)

and introduce the abbreviated notation

$$
I_{n}^{\pm}(b, a) = I_{n}^{\pm}, \quad I_{n}^{\pm}(-b, b) = I_{n}^{\pm}, \quad I_{n}^{\pm}(a, e_{0}) = \mathcal{T}_{n}^{\pm}
$$
 (43a)

for the integrals over the forbidden (I_n^*) and allowed (J_n^*, J_n^*) bands. Substituting (25) in (42) we obtain

$$
A_0 = -\langle (E_0^2 - \gamma_1) (E_0^2 - \gamma_2) \rangle = \frac{I_2 - I_1^+ + I_2^+ I_1^-}{I_0 - I_1^+ + I_0^+ I_1^-},\tag{44}
$$

$$
\langle q \rangle = \langle \Delta^2 \rangle = 2 \left[2E_0^2 - \langle \gamma_1 + \gamma_2 \rangle \right] = 2 \frac{I_z - I_0 + -I_z + I_0}{I_0 - I_1 + + I_0 + I_1}.
$$
 (45)

Substituting (42) in (40) we obtain

$$
p_{+}-p_{-}=1/_{2}(J_{2}^{+}+1/_{2}\langle q\rangle J_{1}^{+}+A_{0}J_{0}^{+}), \qquad (46)
$$

$$
p_{-} = \frac{1}{2} \left(\mathcal{J}_{2} - \frac{1}{2} \mathcal{J}_{2} - \langle q \rangle + A_{0} \mathcal{J}_{0} - \right). \tag{47}
$$

Equations (46) and (47) , with allowance for (44) , (45) , and (39), jointly with the constraints (36) and (38), determine completely the limits of the bands $E₀$ given the particle numbers n , and n .

We introduce now general relations for the densities of the energy $w(x)$, of the charge $\rho(x)$, and of the spin $\sigma(x)$. By definition

$$
w(x) = \frac{\Delta^2(x)}{g^2} + \sum_{E,\sigma} f_{\sigma}(E) \overline{\psi}_E(x) \overline{\psi}_E(x), \quad \langle w(x) \rangle = W/L.
$$
 (48)

Using (8) , (19) , and (29) , we transform (48) into

$$
w(x) = L^{-1} \sum_{E} A^{-1}(E) E^{3} [E^{2} - (E_{1}^{2} + E_{2}^{2} + E_{+}^{2} + E_{-}^{2})/2]. \tag{49}
$$

We find that, as in the case of a single-band potential³ and in the limit of an isolated soliton,¹³ the total energy of the system is delocalized, i. e.,

$$
w(x) = W/L = \text{const.}
$$

The summation in (49) diverges rapidly far from the Fermi levels. We can regularize it by subtracting from (49) the energy density $w₁$ of the Peierls dielectric at $\rho=1$, when

 $E_i=E_z=E=-0,$ $E_+=\Delta_i,$ $p_+=p_-=0,$ $w_i=w_{\text{met}}-\Delta_i^2/2\pi.$

Here w_{met} is the energy density of a metal with $\rho = 1$ without lattice deformation. Since the sum over E converges for $w - w_1$, we can calculate w and w_1 separately, introducing the arbitrary limiting momentum $p_m \gg p_a$, p_r. The value of p_m must be fixed, in order for the changes of the particle densities n_{σ} to be connected with the momenta $p₊$ by the relations (39). The quantity p_m is connected with the energy E_m corresponding to this state by relation (23). Since $E_m \gg E_i$, we have from (13)

$$
p_m \approx E_m \left[1 - \frac{\langle q \rangle}{2E_m^2} + O\left(\frac{E_i^2}{E_m^2}\right) \right]. \tag{50}
$$

The condition $p_m = \text{const}$ can be rewritten approximately in accordance with (50) as

$$
E_m^2 - \langle q \rangle = \text{const} + O(\gamma_{1,2}/E_m^2). \tag{51}
$$

This result is in fact not connected with the form of the potential $q(x)$, but follows directly from (9) at $E_{\rm m}^2 \gg \langle q \rangle$.

For the case (33c) of interest to us we have $\nu = 1$, and expression (49) reduces, when account is taken of (24) and (36), to

$$
w=\int_{E_i^1}^{E_i^1} dE^2 \frac{E^3(E^2-E_0^2)}{2\pi \tilde{R}^{n_i}(E^2)}-\int_{E_i^1}^{E_{\rm min}} dE^2 \frac{E^3(E^2-E_0^2)}{\pi R^{n_i}(E^2)}.
$$

Calculating the integrals, we obtain

$$
w = w(n, m) = \frac{E_0^2 - E_m^2}{\pi} = \frac{E_0^2 - \langle q \rangle}{\pi} + \text{const},\tag{52}
$$

whence

$$
w(n,m)-w(0,0)=\frac{E_{+}^{2}+E_{-}^{2}+\Delta_{1}^{2}-2\langle\Delta^{2}\rangle}{2\pi},\quad w(0,0)=w_{1}.\tag{53}
$$

The quantity $\langle \Delta^2 \rangle$ as a function of E_i is determined by From (46), (47), (57), and (58) we obtain $(45).$

Equation (53) yields a simpler form of the energy for the single-band potential than the form given earlier, $³$ </sup> since the energy in (3) was reckoned from the energy of a metal with a given number of particles. In place of (53) we obtain the expression

$$
w(n, m) - w_{\text{met}}(n, m) = \frac{E_{+}^{2} + E_{-}^{2} - p_{+}^{2} - p_{-}^{2} - 2 \langle \Delta^{2} \rangle}{2\pi}
$$

which goes over into the result of the preceding paper³ at $m=0, p_{+}=p_{-}=\pi n/2$. where

The particle-number density $n(x)$ in the system is by definition

$$
n(x) = \sum_{\mathbf{E},\sigma} f_{\sigma}(E) \overline{\psi_{\mathbf{E}}}^{\bullet}(x) \overline{\psi_{\mathbf{E}}}(x).
$$

$$
n(x) = C\Delta^{2}(x) + D\left[2(E_{0}^{2} - \gamma_{1})(E_{0}^{2} - \gamma_{2}) - \frac{1}{4}(4E_{0}^{2}\Delta' - 6\Delta^{2}\Delta' + \Delta'')\right], \quad (54)
$$

$$
C = [vJ_1^+ - 2I_1^+(a, \infty)]/4\pi, \tag{54a}
$$

$$
D = [vJ_0^+ - 2I_0^+(a, \infty)]/4\pi.
$$
 (54b)

$$
\sigma(x) = \frac{1}{2} \sum_{\mathbf{z}} \left[f_{+}(E) - f_{+}(E) \right] \overline{\psi}_{\mathbf{z}}(x) \overline{\psi}_{\mathbf{z}}(x), \quad \langle \sigma(x) \rangle = \frac{m}{2}.
$$

$$
\sigma(x) = \frac{1}{2} \mathcal{C} \Delta^2 + \frac{1}{2} \mathcal{D} \left[2(E_0^2 - \gamma_1) (E_2^2 - \gamma_2) - \frac{1}{4} (4E_0^2 \Delta' - 6\Delta^2 \Delta' + \Delta'') \right], \quad (55)
$$

$$
\mathcal{C} = vJ_1^{\dagger}/4\pi, \quad \mathcal{D} = vJ_0^{\dagger}/4\pi. \tag{55a}
$$

Equations (36), (38), (39), (43), (46), (47), and (53)-(55) enable us to investigate the basic static properties of the Peierls model at zero temperature. Simpler relations can be obtained in the limit of large **n** and in the limit of small m.

3. FROHLICH LIMIT PHASE TRANSITION IN A MAGNETIC FIELD

We consider the general relations of the theory on going to the Frohlich limit

$$
n \gg \Delta_1, \qquad E_0^2 \approx E_+^2 \approx E_-^2 \gg \Delta_1^2, \quad a, \quad b.
$$

It is natural to express all the physical quantities in this limit in terms of the gap width $E_{\epsilon} = E_{+} - E_{-}$ between the doubly filled and unfilled states and the width $E_b = 2\Delta_b = E_2 - E_1$ of the singly filled band $E_a \approx a/E_0$, E_b $\approx b/E_0$. The results should not depend explicitly on the value of E_0 , which now determines only the general shift of the Fermi levels relative to the band center. The energy scale is defined by the quantity

$$
\Delta_{\mathfrak{o}} = \Delta|_{\mathfrak{o} = \mathfrak{o}} \approx \frac{\Delta_{\mathfrak{i}}^2}{4E_{\mathfrak{o}}}, \quad E_{\mathfrak{s}} = 2\Delta, \quad k = \frac{\Delta_{\mathfrak{o}}}{\Delta} < 1. \tag{56}
$$

Expanding the integrals (43) up to terms $\sim E_0^{-3}$ inclusive, we obtain from (34) and (45)

$$
\langle q \rangle = \langle \Delta^2 \rangle \approx 2\Delta^2 (2 - k^2) + 4\Delta^2 E(k) / K(k), \tag{57}
$$

$$
A_0 = -\langle (E_0^2 - \gamma_1) (E_0^2 - \gamma_2) \rangle \approx 4 E_0^2 \Delta^2 E(k) / K(k). \tag{58}
$$

 $E(k)$ is a complete elliptic integral of the second kind.

$$
m = (p_+ - p_-)/\pi \approx \Delta/K(k), \qquad (59)
$$

$$
n = \frac{p_+ + p_-}{\pi} \approx \frac{2}{\pi} E_0 \left[1 + \frac{\Delta^2}{E_0^2} \left(\frac{E(k)}{K(k)} - \frac{3}{2} \right) + \frac{3}{16} \frac{\Delta_0^2}{E_0^2} \right].
$$
 (60)

From (60) we can deternine E_0 and substitute, together with (57) and (58), into Eq. (53) for the energy. We obtain

$$
w(n,m)-w(0,0)=\frac{\pi}{4}n^2+\frac{\Delta_1^2}{2\pi}+\frac{\Delta_0^3}{2\pi}+\frac{\Delta^2}{\pi}\left(2\frac{E(k)}{K(k)}-1\right),
$$

$$
w(n, m) - w(n, 0) \approx \Delta_0^2 f(k) / \pi,
$$

$$
f(k) = 1 - k^{-2} [1 - 2E(k) / K(k)].
$$
 (61)

Equations (59) and (61) make it possible to determine the energy of the spin excitation in the Fröhlich limit.
As $m \to 0$ we have according to (59) $k \to 1$ and $\Delta \to \Delta_0$, Using (8), (19), (30), and (42) we obtain
 $\begin{array}{c}\n\text{As } m \to 0 \text{ we have according to (59) } k \to 1 \text{ and } \Delta \to \Delta_0, \\
\text{and from (61) we obtain}\n\end{array}$

$$
w(n, m)-w(n, 0) \approx E_{\rm s}m, \quad E_{\rm s}=2\Delta_{\rm s}/\pi. \tag{62}
$$

The quantity E_s coincides with the energy of the selflocalized state^{11,13,18} with spin $s = 1/2$ in the Peierls-Fröhlich model.

The particle spin density is by definition At high spin density $m \gg \Delta_0$ we have from (59) $k \to 0$, and from (61) we get

$$
w(n, m) - w(n, 0) = \pi m^2/4 - \Delta_0^2 / \pi^3 m^2. \tag{63}
$$

In analogy with (54) we obtain \blacksquare We have considered so far the properties of the system for given particle numbers N_t , N_t , i.e., for given n and *m.* We consider now the properties of the system in the case when only the total number of particles is specified

$$
N_{\rm t}+N_{\rm t}=nL={\rm const.}
$$

The spin density *m* should be determined from the condition that the free energy be a minimum

$$
\widetilde{w}(n, h) = w(n, m) - mh \tag{64}
$$

at a given magnetic field *h.* An investigation of expression *(64)* at arbitrary n is quite difficult. We confine ourselves to the Fröhlich limit considered above $n \gg \Delta_1$. This region is of greatest interest, first because it includes practically all the quasi-one-dimensional substances with structural dielectric transitions. Second, with increasing **n** the value of the gap *2A* decreases in accordance with *(56)* and *(60)* in proportion to n^{-1} , and can be compared with the attainable magnetic fields.

Substituting *(59)* and *(61)* in *(64)* we obtain

$$
\widetilde{w}(n,m)-\widetilde{w}(n,0)=\frac{\Delta_0^2}{\pi}\bigg[1+\frac{1}{k^2}\bigg(2\frac{E(k)}{K(k)}-1\bigg)-\frac{2}{k}\frac{h}{E_s}\bigg]=\frac{\Delta_0^2}{\pi}\widetilde{f}(k),\tag{65}
$$

where $k = \Delta_0/\Delta$ according to (56). From (65) we have

$$
\frac{df}{dk} = \frac{2E(k)}{K^2(k)} \frac{hk/E - E(k)}{k^3(1 - k^2)},
$$
\n(66)

where $E_s = 2\Delta_0/\pi$ in accordance with (62). It is seen from (66) that the function $\tilde{f}(k)$ has a minimum at $k = k_m$ ≤ 1 only at $h > h_c + E_s$:

$$
E(k_m)/k_m=h/h_c.
$$

Equations (67) and (59) determine the function $m(h)$. Plots of $\tilde{f}(k)$ at $h > h_c$ and of $m(k)$ are shown in Fig. 3. As $h-h_c+0$ we have

$$
\frac{h}{h_c} \sim \frac{1 - k^2}{2 \ln\left(1 - k^2\right)}, \, \frac{m}{\Delta_0} \sim \frac{1}{\ln\left(1 - k^2\right)},
$$

whence

$$
h \approx \frac{m}{\pi \Delta_0} \exp\left(-\frac{\Delta_0}{m}\right), \quad \frac{1}{\chi} = \frac{\partial h}{\partial m} \approx \frac{1}{\pi m} \exp\left(-\frac{\Delta_0}{m}\right). \tag{68}
$$

At $h \gg h_c$ the modulus $k \rightarrow 0$ and we have

$$
\frac{h}{h_{\rm c}} \approx \frac{\pi}{2k}, \quad n \approx \frac{h_{\rm c}}{k}, \quad h = \frac{\pi m}{2}, \quad \chi = \frac{2}{\pi} = \chi_{\rm P},
$$

where χ_p is the Pauli susceptibility for the metal.

We have found that at $h < h_c$, the system does not have paramagnetic susceptibility: $m = 0$, $\chi = 0$. At $h > h_c$ the system becomes paramagnetic, and with a susceptibility *x* that becomes infinite at $h \rightarrow h_c$. At $h \gg h_c$ the value of χ coincides with χ_P for a normal metal, despite the presence of gaps on the Fermi levels μ , and μ .

The results are applicable also to other systems with overlap of two bands, if the Fermi velocities can be regarded as close. The field *h* corresponds here to the difference between the Fermi momenta for two

FIG. **3.**

groups of electrons in the metallic phase, while *nm/2* corresponds to the difference between the limiting momenta in the dielectric phase.

4. SINGLE-ELECTRON AND SPIN EXCITATIONS

We consider the limit of an infinitely small spin density, when the number of states and the number of particles in the band $\{-E_i, -E_i\}$, which is equal to the number of states, tends to zero. The band contracts then to a local level $-E_0$, and we have

$$
m\rightarrow 0
$$
, $E_1\rightarrow E_2\rightarrow E_0$, $b\rightarrow 0$, $a\rightarrow \Delta_1^2/2$, $m\sim \ln(\Delta_1^2/b)$.

To calculate the first-order effects in m , it suffices to separate in the integrals (43) the terms $\text{ln}(\Delta_i^2/b)$ and to neglect the corrections of higher powers of b .

Assume that a small spin density $m = M/L \ll n$ is produced in the system and that the total density is changed $n \rightarrow n + \delta n$, $\delta n \ll n$. The values of m and δn determine the width b and the shift of the spectrum $\delta(E_0^2)$ of the band $\{E_1^2, E_2^2\}$. From (46) and (47) we obtain in first order in m

$$
n = \frac{\Delta_i}{rK(r)}, \quad m \approx \frac{\Delta_i Z(\pi/4, r)}{r \ln(\Delta_i^{\ast}/b)},
$$
(69)

$$
m + \delta n = \frac{rE(r)}{\Delta_1(1-r^2)K^2(r)} \delta(E_0^2) + mS(r), \qquad (70)
$$

where

$$
S(r) = \frac{4}{\pi} K(r') \left[Z\left(\frac{\pi}{4}, r\right) - \frac{r^2}{2} \left(1 - \frac{r^2}{2}\right)^{-1/2} \right] + 2\Lambda_0(\varphi, r'),
$$

\n
$$
r = \Delta/E_+, \quad r' = E_-/E_+, \quad r^2 + r'^2 = 1, \quad \text{ctg } \varphi = r',
$$

\n
$$
Z(\theta, r) = K^{-1}(r) \left[E(\theta, r) K(r) - F(\theta, r) E(r) \right],
$$

\n
$$
\Lambda_0(\varphi, r') = \frac{2}{\pi} \left[K(r') E(\varphi, r) - \left\{ K(r') - E(r') \right\} F(\varphi, r) \right],
$$

 $Z(\theta, r)$ is the Jacobi zeta function, $\Lambda_{0}(\varphi, r')$ is the Heymann lambda function, and $F(\theta, r)$, $E(\theta, r)$ are elliptic integrals of first and second kind respectively $[K(r) \equiv F(\pi/2, r), E(r) \equiv E(\pi/2, r)].$

We calculate $\delta \langle q \rangle$ from (45) and $\delta (E_0^2)$ from (70) and substitute in *(53).* We obtain the change in the system energy

$$
\delta W = L\left\{w\left(n+\delta n, m\right) - w(n, 0)\right\} = \mu(\delta N - M) + E_{\epsilon}M,
$$
\n
$$
\mu = \frac{\partial w(n, 0)}{\partial n} = \frac{2\Delta_1}{\pi r}E(r), \quad E_{\epsilon} = \mu S(r), \quad \delta N = L\delta n, \quad M = Lm.
$$
\n(71)

In the limiting cases, Eq. *(71)* takes the form

$$
\delta W = \frac{2}{\pi} \Delta_1 (\delta n - m) + \frac{2^{n_2}}{\pi} \Delta_1 m, \quad n \ll \Delta_1,
$$
 (72)

$$
\pi^{-1}(\mathbb{R}^n, \mathbb{R}^n) = \pi^{-1}(\mathbb{R}^n, \mathbb{R}^n) \tag{73}
$$

$$
\delta W \approx E_+ \delta n + \frac{2}{\pi} \Delta m, \quad 2\Delta = E_+ - E_-, \quad n \gg \Delta_1.
$$

From (71) it follows that the change of the system energy upon addition of a singlet pair $(\delta N = 2, M = 0)$ is $\delta W_s = 2\mu$, upon addition of a triplet pair $(\delta N = M = 2)$ $- \delta W_t = 2E_s$, and on going from a singlet to a triplet state $(\delta N = 0, M = 2)$ we have

$$
W_1-W_0=2(E_s-\mu)=2E_a
$$
,

Upon addition of one particle $(\delta N = M = 1)$

$$
\delta W_+,\,=\mu_+-E_*
$$

Upon removal of one particle $(\delta N = -M = -1)$

 $\delta W_{-1} = \mu_{-} = 2\mu - E_{\bullet}$

and upon excitation of an electron-hole pair

 $E_s^* = \mu_+ - \mu_- = 2(E_s - \mu) = 2E_a$.

We note that the electron-hole and spin excitations have the same activation energy E_a , while the singleparticle chemical potentials are equal to $\mu_{\pm} = \mu \pm E_a$. These facts, as well as the investigation of the corresponding coordinate dependences show that the two-particle state is simply an aggregate of two remote solitons, each carrying a single localized particle. At $n \gg \Delta_1$, it follows from (73) that $E_a \approx 2\Delta/\pi$, i.e., each soliton constitutes a domain wall (6).

At $n \ll \Delta_1$ it follows from (72) that

 $E_a = 2^{\eta_1}\pi^{-1}\Delta_1 - 2\pi^{-1}\Delta_1$,

i. e., the activation is effected via a transition of spinless domain walls (4) into polarons (5).

We consider now the local properties of one soliton, namely the charge and the spin. To this end it is necessary to investigate the transition $m \to 0$ in Eqs. necessary to investigate the transition $m \to 0$ in Eqs. (54) and (55). Far from the soliton (as $x \to \pm \infty$) we have

 $\Delta(x) \rightarrow \Delta_{\pm\infty}(x),$

where $\Delta_{\pm \infty}(x)$ satisfies Eq. (28) with $B_1/B_2 = 4E_0^2$, and

$$
(E_0^2 - \gamma_1(x))(E_0^2 - \gamma_2(x)) = -A(E_0) |v_{E_0}(x)|^2 \to 0,
$$

since $v_{E0}(x)$ degenerates into the wave function of the local level. Therefore the expression in the square brackets of (54) and (55) tends to zero as $x \rightarrow \pm \infty$.

According to Kuznetsov and Mikha i lov²² the asymptotic singly periodic solutions $\Delta_{\star}\mathcal{L}(x)$ in the presence of one soliton differ exactly by half a period, from which we get in our case $\Delta_{\bullet\infty}(x)=-\Delta_{\bullet\infty}(x)$. Taking these remarks into account, we obtain from (54) the soliton charge q_s :

$$
q_{\bullet} = e \lim_{m \to 0} \left[\langle n(x) - n_{\infty}(x) \rangle / m \right]
$$

=
$$
e \lim_{m \to 0} \left\{ \left[C \langle \Delta^2 - \Delta_{\infty}^2(x) \rangle + 2D \langle (E_0^2 - \gamma_1) (E_0^2 - \gamma_2) \rangle \right] / m \right\}.
$$
 (74)

Calculating the coefficients C and D in (54a) and (54b) Phys. JETP 51, 342 (1980)]. Calculating the coefficients σ and ν in $(\sigma^2 \alpha)$ and $(\sigma^2 \nu)$ ¹⁴H. Takayama, Y. R. Lin-Liu, and K. Maki, Phys. Rev. **B21**, **as** $b \rightarrow 0$, we obtain

$$
C = -\frac{K(r')}{\pi E_+}, \quad D = \frac{1}{\Delta_1 E_0} \left[3 - 2 \frac{F(\pi/4, r)}{K(r)} - \frac{4}{\pi} K(r') Z\left(\frac{\pi}{4}, r\right) \right]. \tag{75}
$$

Calculating (44) and (45) as $b \rightarrow 0$, we obtain, with allowance for (69)

$$
\langle \Delta^2(x) \rangle - \langle \Delta_{\infty}^2(x) \rangle \approx 4mE_{+}Z(\pi/4, r), \quad A_0 \approx \Delta_1^2 E_0 m. \tag{76}
$$

Substituting (75) and (76) in (74) we obtain ultimately

$$
q_i = e\left[1 - 2\frac{F(\pi/4, r)}{K(r)}\right] = e\begin{cases}1 - \frac{n}{\Delta_1}\ln\frac{\gamma\bar{2} + 1}{\gamma\bar{2} - 1}, & n \ll \Delta_1\\ \frac{2}{\pi^3}\frac{\Delta_1^2}{n^2}, & n \gg \Delta_1\end{cases} \tag{77}
$$

Equation (77) shows that at $n \ll \Delta_1$ the soliton charge is close to the single-electron charge. With increasing n , partial screening of the charge is caused by the deformation of the periodic structure in the vicinity of the soliton.

In the Fröhlich limit $n \gg \Delta_1$, $r \ll 1$ we have $q_s \rightarrow 0$, i.e., the charge is completely screened in accordance with the earlier results. $11,13$ The residual charge

$$
q_*\!\sim\! e\,\frac{\Delta^2}{{E_+}^2}\sim\frac{{\Delta_1}^2}{n^2}\,e
$$

is a weak effect of a remote commensurability point, which was lost in the previously employed^{11,13} Fröhlich Hamiltonian based on the jellium model.

The calculation of the spin density in accordance with (55) and (55a) as $m \rightarrow 0$ leads to the obvious result

 $\sigma(x) = m |v_E(x)|^2$.

The spin of one soliton is $s = 1/2$.

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