Structure and approximation of the Chapman-Enskog expansion for linearized Grad equations

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The structure of the Chapman-Enskog expansion for the stress tensor and for the heat-flux vector is found on the basis of linearized Grad equations. A method involving a partial summation of the Chapman-Enskog series, in order to eliminate the short-wavelength instability of the Barnett approximations, is proposed.

1. The problem of deriving hydrodynamic equations from the Boltzmann kinetic equation is a classic one. Still, several of the questions which arise here have not been finally resolved. In particular, just which equations should follow the Navier-Stokes approximation is not totally clear. In principle, the classic Chapman-Enskog method¹ makes it possible to refine the Navier-Stokes hydrodynamics. As was shown in Ref. 2, however, even the first corrections (the Barnett corrections and the super-Barnett corrections) result in a catastrophic degradation: a short-wavelength instability of sound waves occurs. The nonphysical properties of the Barnett approximations suggest that higher-order terms should be retained in the Chapman-Enskog expansion, in order to construct hydrodynamic equations which are not contradictory from the standpoint of the H theorem. Similar situations are not uncommon in quantum field theory and statistical mechanics. Singularities (divergences) which arise in low orders of expansions in those other areas can frequently be eliminated by approximating the series as a whole in some manner: through a partial summation of infinite subsequences of diagrams, through the use of the Pade approximation, etc.

In the present paper we attempt to improve the Barnett approximations through a partial summation of the Chapman–Enskog series. The difficulties in determining the terms of this series from the Boltzmann equation are wall known. For example, it was only comparatively recently that the Barnett and super-Barnett approximations were found for the very simple case of Maxwell molecules.³ We will accordingly analyze the Chapman–Enskog expansion for the linearized Grad equations,^{4,5} rather than for the Boltzmann equation. We know that in this case, at least, the differences between the results found from the Grad equations and those found from the Boltzmann equation are small. Use of the Grad equations has certain technical advantages, particularly when we work with the Chapman–Enskog series as a whole.

Let us briefly outline this paper. In Sec. 2 we determine the form of the coefficients of the Chapman-Enskog expansion for the linearized stress tensor and the linearized heatflux vector. The expressions found as a result [expressions (2.7)] refine the corresponding result found by Grad⁶ for the linearized Boltzmann equation. We discuss the linearized steady-state hydrodynamic equations. In Sec. 3 we propose a method for a systematic approximation of the Chapman-Enskog recurrence procedure. This method is

essentially one of partially summing the series. We discuss some examples of the application of this method to the linearized Grad equations in order to eliminate the short-wavelength instability of the Barnett approximations.

2. We denote by ρ_0 , T_0 , and $\mathbf{u}_0 = 0$ the equilibrium values of the density, the temperature, and the flow velocity (in some appropriate Galilean coordinate system), while ρ' , T', and \mathbf{u}' are small deviations from the equilibrium values. In the moment equations which appear below, we find the viscosity coefficient μ . It is convenient to write it in the form $\mu(T) = \eta(T)T$. The function $\eta(T)$ depends on the choice of a model for the interparticle interaction. In particular, we would have $\eta = \text{const}$ for Maxwell molecules, and $\eta \propto T^{-1/2}$ for hard spheres. Everywhere below, we use a system of units in which the Boltzmann constant is one. We introduce the dimensionless variables

$$\mathbf{u} = T_0^{-1/2} \mathbf{u}', \quad \rho = \rho_0^{-1} \rho', \quad T = T_0^{-1} T',$$

$$\mathbf{x} = \eta^{-1} (T_0) T_0^{-1/2} \rho_0 \mathbf{x}', \quad t = \eta^{-1} (T_0) \rho_0 t'.$$
(2.1)

Here \mathbf{x}' represents the spatial coordinates, and t' is the time. The 13-moment Grad equations, linearized near the equilibrium, 6 take the following form when written in terms of the variables (2.1):

$$\begin{aligned}
\partial_{t}\rho &= -\partial_{i}u_{i}, & \partial_{t}T &= -^{2}/_{3}(\partial_{i}u_{i} + \partial_{i}q_{i}), \\
\partial_{t}u_{k} &= -\partial_{k}\rho - \partial_{k}T - \partial_{i}\sigma_{ik} & (k=1, 2, 3), \\
\partial_{t}\sigma_{ik} &= -(\partial_{i}u_{k} + \partial_{k}u_{i} -^{2}/_{3}\delta_{ik}\partial_{m}u_{m}) \\
-^{5}/_{2}(\partial_{i}q_{k} + \partial_{k}q_{i} -^{2}/_{3}\delta_{ik}\partial_{m}q_{m}) - \varepsilon^{-1}\sigma_{ik}, \\
\partial_{t}q_{k} &= -^{5}/_{2}\partial_{k}T - \partial_{i}\sigma_{ik} -^{2}/_{3}\varepsilon^{-1}q_{k}.
\end{aligned} \tag{2.2}$$

Here and below, σ_{ik} (i, k=1,2,3) is the traceless part of the stress tensor, q_k is the heat flux vector, $\partial_i \equiv \partial/\partial x_i$, $\partial_t \equiv \partial/\partial_t$, a repeated index implies summation, ε is a small parameter (the Knudsen number), and δ_{ik} is the Kronecker delta.

When applied to the system (2.2), the Chapman-Enskog method is the representation of q_k , σ_{ik} by the series

$$\sigma_{ik} = \sum_{n=0}^{\infty} \varepsilon^{n+1} \sigma_{ik}^{(n)}, \quad q_k = \sum_{n=0}^{\infty} \varepsilon^{n+1} q_k^{(n)}. \tag{2.3}$$

The coefficients $\sigma_{ik}^{(n)}$, $q_k^{(n)}$ are determined by the recurrence procedure

$$\sigma_{ik}^{(0)} = -\left(\partial_{i} u_{k} + \partial_{k} u_{i} - \frac{2}{3} \delta_{ik} \partial_{m} u_{m}\right), \quad q_{k}^{(0)} = -\frac{15}{4} \partial_{k} T,$$

$$\sigma_{ik}^{(n)} = -\left\{ \sum_{m=0}^{n-1} \partial_{i}^{(m)} \sigma_{ik}^{(n-(m+1))} + \frac{2}{5} \left(\partial_{i} q_{k}^{(n-1)} + \partial_{k} q_{i}^{(n-1)} - \frac{2}{3} \delta_{ik} \partial_{m} q_{m}^{(n-1)}\right) \right\}, \quad n \ge 1,$$
(2.4)

$$q_{k}^{(n)} = -\frac{3}{2} \left\{ \sum_{m=0}^{n-1} \partial_{i}^{(m)} q_{k}^{(n-(m+1))} + \partial_{i} \sigma_{in}^{(n-1)} \right\}, \quad n \ge 1.$$

The operators $\partial_t^{(m)}$, for $m \ge 0$, do the following:

$$\frac{\partial_{\iota}^{(0)}D\rho = -D\partial_{i}u_{i}, \quad \partial_{\iota}^{(0)}DT = -\frac{2}{3}D\partial_{i}u_{i},}{\partial_{\iota}^{(0)}Du_{k} = -D\partial_{k}(T+\rho),} \\
\frac{\partial_{\iota}^{(0)}D\rho = 0, \quad \partial_{\iota}^{(m)}DT = -\frac{2}{3}\partial_{i}q_{i}^{(m-1)},}{\partial_{\iota}^{(m)}Du_{k} = -D\partial_{i}\sigma_{ik}^{(m-1)}, \quad m \ge 1.}$$
(2.5)

Here and below, \mathcal{D} is an arbitrary differential operator of the type $\partial_1^{l_1} \partial_2^{l_2} \partial_3^{l_3}$, $l_i \ge 0$, $\partial_i^0 = 1$.

According to (2.4) and (2.5), the coefficients of the series (2.3) can be expressed in terms of the spatial derivatives of ρ , T, and \mathbf{u} . We introduce

$$\overline{\partial_{i}u_{k}} = \partial_{i}u_{k} + \partial_{k}u_{i} - \frac{2}{3}\delta_{ik}\partial_{m}u_{m},$$

$$\Gamma_{ik} = 2\left(\partial_{i}\partial_{k} - \frac{1}{3}\delta_{ik}\Delta\right), \quad \Delta = \partial_{m}\partial_{m}.$$
(2.6)

The basic assertion of this section of the paper is as follows: The coefficients $\sigma_{ik}^{(n)}$, $q_k^{(n)}$ in (2.3), found from (2.4) and (2.5), are

$$\sigma_{ik}^{(2n)} = c_n \Delta^n \overline{\partial_i u_k} + d_n \Delta^{n-1} \Gamma_{ik} \partial_m u_m,$$

$$\sigma_{ik}^{(2n+1)} = a_n \Delta^n \Gamma_{ik} \rho + b_n \Delta^n \Gamma_{ik} T,$$
(2.7)

$$q_{h}^{(2n)} = \alpha_{n} \Delta^{n} \partial_{h} \rho + \beta_{n} \Delta^{n} \partial_{h} T,$$

$$q_{h}^{(2n+1)} = \varphi_{n} \Delta^{n} \partial_{h} \partial_{m} u_{m} + \psi_{n} \Delta^{n+1} u_{h}$$

for all $n \ge 0$. Here c_n , d_n , a_n , b_n , α_n , β_n , φ_n , and ψ_n are numerical coefficients.

Let us outline a proof by induction. A direct calculation yields

$$\sigma_{ik}^{(1)} = \Gamma_{ik} (^{1}/_{2}T - \rho), \quad q_{k}^{(1)} = -^{13}/_{4} \partial_{k} \partial_{m} u_{m} + ^{3}/_{2} \Delta u_{k}.$$
 (2.8)

Using $\sigma_{ik}^{(0)}$, $q_k^{(0)}$ from (2.4), we see that assertion (2.7) is proved for the case n = 0. Let us assume that the structure (2.7) has been established for some n. Then for n + 1 we have

$$\sigma_{ik}^{(2(n+1))} = -\left\{ \sum_{m=0}^{2n+1} \partial_{t}^{(m)} \sigma_{ik}^{(2(n+1)-(m+1))} + \frac{2}{5} \left(\partial_{i} q_{k}^{(2n+1)} + \partial_{k} q_{i}^{(2n+1)} - \frac{2}{3} \delta_{ik} \partial_{m} q_{m}^{(2n+1)} \right) \right\}$$

$$= \left\{ \sum_{p=0}^{n} c_{p} c_{n-p} - \frac{2}{5} \psi_{n} \right\} \Delta^{n+1} \overline{\partial_{i} u_{k}} + \Delta^{n} \Gamma_{ik} \partial_{m} u_{m}. \tag{2.}$$

$$\times \left\{ \frac{1}{3} \sum_{p=0}^{n} \left[c_{n-p} (c_{p} + 4d_{p}) + 4d_{n-p} (c_{p} + d_{p}) \right] + a_{n} + \frac{2}{3} b_{n} + \frac{2}{3} \sum_{n=1}^{n} b_{n-p} (\phi_{p-1} + \psi_{p-1}) - \frac{2}{5} \phi_{n} \right\}.$$

Clearly, the expression has the same structure as that of the corresponding coefficient $\sigma_{ik}^{(2m)}$ in (2.7). It is also a straightforward matter to verify that the expressions for $q_k^{(2(n+1))}$, $\sigma_{ik}^{(2(n+1)+1)}$, $q_k^{(2(n+1)+1)}$ have the form (2.7).

The structure of the Chapman-Enskog expansion (2.7) has some simple consequences. Truncating the series (2.3) at some finite order $n \ge 0$, and substituting the resulting expressions into the first five equations in (2.2), we find a closed system of equations for ρ , T, and u. A steady-state solution of these equations for n = 2m, with $m \ge 1$, can be found from the following equations (under appropriate boundary conditions):

$$\partial_{i}u_{i}=0, \sum_{p=0}^{m} \varepsilon^{2p+1}c_{p}\Delta^{p+2}u_{k}=0, \quad k=1, 2, 3,$$

$$A_{m}(\Delta)\rho+B_{m}(\Delta)T=0, \quad C_{m}(\Delta)\rho+E_{m}(\Delta)T=0,$$

$$A_{m}(\Delta)=\sum_{p=0}^{m} \alpha_{p}\varepsilon^{2p+1}\Delta^{p+1}, \quad B_{m}(\Delta)=\sum_{p=0}^{m} \beta_{p}\varepsilon^{2p+1}\Delta^{p+1},$$

$$C_{m}(\Delta)=\Delta+\frac{4}{3}\sum_{p=0}^{m-1} a_{p}\varepsilon^{2(p+1)}\Delta^{p+1},$$

$$E_{m}(\Delta)=\Delta+\frac{4}{3}\sum_{p=0}^{m-1} b_{p}\varepsilon^{2(p+1)}\Delta^{p+1}.$$
(2.10)

With n=2m+1, the steady-state equations can be found from (2.10) by replacing m-1 by m in the sums in the operators $C_m(\Delta)$, $E_m(\Delta)$. In the case n=0 (this is the Navier-Stokes approximation), the steady-state equations lead to

$$\Delta T = 0$$
, $\Delta \rho = 0$, $\partial_i u_i = 0$, $\Delta^2 u_k = 0$. (2.11)

Under this condition, only $q_k^{(0)}$, $q_k^{(1)}$, $\sigma_{ik}^{(0)}$, $\sigma_{ik}^{(1)}$, and $\sigma_{ik}^{(2)}$ in (2.7) are nonzero. Since we have $\partial_i \sigma_{ik}^{(1)} = \partial_i \sigma_{ik}^{(2)} = \partial_i \sigma_{ik}^{(2)} = \partial_i q_k^{(1)} = 0$, incorporating $\sigma_{ik}^{(1)}$, $\sigma_{ik}^{(2)}$, $q_k^{(1)}$ does not alter the conditions for a steady state of the Navier–Stokes approximation, (2.11). In a comparatively recent study, Galkin⁷ established that the Chapman–Enskog series is degenerate for the linearized Boltzmann equation. For n > 0, it generally does not follow from (2.10) that the series in (2.3) are degenerate.

In the time-varying case, the situation gets worse. Truncating series (2.3) at a finite order n > 0 may result in a short-wavelength instability of the equilibrium point, as was shown in Ref. 2 for n = 1 and 2 for one-dimensional equations (2.3). As we mentioned in Sec. 1, all orders must be taken into account in the Chapman-Enskog expansion. The relation (2.9) shows that the recurrence procedure for determining the numerical coefficients in (2.7) is rather cumbersome. In the following sections of this paper we propose some methods for solving Eqs. (2.4) approximately in order to approximate the series (2.3) as a whole.

3. Let us outline this new algorithm for an approximate solution of the recurrence system (2.4). We fix $k_0 \ge 1$. Equations (2.4) are replaced by the approximate equations

$$\sigma_{ik}^{(n)} = -\left\{ \sum_{m=0}^{n-1} \partial_{i}^{(m)} \sigma_{ik}^{(n-(m+1))} + \frac{2}{5} \left(\partial_{i} q_{k}^{(n-1)} + \partial_{k} q_{i}^{(n-1)} - \frac{2}{3} \delta_{ik} \partial_{m} q_{m}^{(n-1)} \right) \right\}, \quad n = 0, \dots, k_{0},$$

$$\sigma_{ik}^{(k_{0}+m)} \approx -\left\{ \sum_{s=0}^{k_{0}-1} \partial_{i}^{(s)} \sigma_{ik}^{(k_{0}+m-(s+1))} + \frac{2}{5} \left(\partial_{i} q_{k}^{(k_{0}+m-1)} + \partial_{k} q_{i}^{(k_{0}+m-1)} - \frac{2}{3} \delta_{ik} \partial_{s} q_{s}^{(k_{0}+m-1)} \right) \right\}, \quad m \ge 1$$

$$(3.1)$$

with corresponding expressions for $q_k^{(n)}$. The operators $\partial_i^{(s)}$ $(s=0,...,k_0-1)$ are found as in (2.5). We are thus restricting the discussion to a finite set of operators $\partial_i^{(s)}$ at the outset. The k_0 th order is taken into account exactly in expansions (2.3), while all other orders, beginning with the (k_0+1) -st, are taken into account approximately. In the limit $k_0 \to \infty$, the system (3.1) tends toward the system (2.4). It can be shown that (3.1) retains the structure of (2.7); the only changes are in the numerical values of the coefficients of the derivatives.

Equations (3.1) are generally simpler than the original equations, (2.4), and explicit solutions are possible in several cases, as we will see below. The next step of the algorithm is to sum the series (2.3) with the approximate values of the coefficients found from (3.1). The overall procedure is a recipe of a sort. For brevity, we will call the method (3.1) "regularization." We turn now to several examples of its use.

We begin with the very simple case of the linearized one-dimensional ten-moment Grad equations. The analogs of Eqs. (2.2) are

$$\begin{array}{ll} \partial_{t} \rho = -\partial_{x} u, & \partial_{t} T = -\frac{2}{3} \partial_{x} u, & \partial_{t} u = -\partial_{x} (T + \rho + \sigma), \\ & \partial_{t} \sigma = -\frac{4}{3} \partial_{x} u - \varepsilon^{-1} \sigma. \end{array}$$
(3.2)

Here σ is the xx component of the tensor σ_{ik} , and x is a one-dimensional coordinate. Equations (3.2) can be found from the one-dimensional version of (2.2) by taking the limit $q \to 0$.

We now fix $k_0 = 1$. We go over to the variables u, $\theta = T + \rho$. The recurrence relations (3.1) can then be approximated by

$$\sigma^{(0)} = -\frac{4}{3} \partial_x u, \quad \sigma^{(1)} = -\partial_t^{(0)} \sigma^{(0)}, \quad \sigma^{(n)} \approx -\partial_t^{(0)} \sigma^{(n-1)}, \quad n \ge 2,$$
$$\partial_t^{(0)} \theta = -\frac{5}{3} \partial_x u, \quad \partial_t^{(0)} u = -\partial_x \theta. \tag{3.3}$$

From (3.3) we easily see that the $\sigma^{(n)}$ are

$$\sigma^{(2n)} = a_n \partial_x^{2n+1} u, \quad \sigma^{(2n+1)} = b_n \partial_x^{2n+2} \theta, \quad n \ge 0.$$
 (3.4)

and the coefficients a_n and b_n are determined by the recurrence rule

$$a_n = b_n, \quad a_{n+1} = \frac{5}{3}a_n, \quad a_0 = -\frac{4}{3}.$$
 (3.5)

From (3.4) and (3.5) we find

$$\sigma^{(2n)} = (\frac{5}{3} \partial_x^2)^n (-\frac{4}{3} \partial_x u), \quad \sigma^{(2n+1)} = (\frac{5}{3} \partial_x^2)^n (-\frac{4}{3} \partial_x^2 \theta).$$

(3.6)

Summing the series

$$\sum_{n=0}^{\infty} e^{n+1} \sigma^{(n)}$$

with the coefficients (3.6), we find

$$\sigma_{1R} = R_1 \sigma_1, \quad R_1 = (1 - \frac{5}{3} \epsilon^2 \partial_x^2)^{-1},$$

$$\sigma_1 = -\frac{4}{3} (\epsilon \partial_x u + \epsilon^2 \partial_x^2 \theta). \tag{3.7}$$

The expression for σ_1 is the Barnett approximation of the non-diagonal part of the stress tensor σ .

We now fix $k_0 = 2$. In this case, Eqs. (3.1) become

$$\sigma^{(0)} = -\frac{4}{3} \partial_x u, \quad \sigma^{(1)} = -\partial_t{}^{(0)} \sigma^{(0)}, \quad \sigma^{(2)} = -\partial_t{}^{(0)} \sigma^{(1)} \\
-\partial_t{}^{(1)} \sigma^{(0)}, \quad \sigma^{(n)} \approx -\partial_t{}^{(0)} \sigma^{(n-1)} -\partial_t{}^{(1)} \sigma^{(n-2)}, \quad n \geqslant 3, \\
\partial_t{}^{(1)} \theta = 0, \quad \partial_t{}^{(1)} u = \frac{4}{3} \partial_x{}^2 u. \tag{3.8}$$

The coefficients $\sigma^{(n)}$ are as in (3.4). The numbers a_n and b_n are determined by a recurrence procedure which is found from (3.5) by replacing 5/3 by 1/3. As a result we replace (3.7) by

$$\sigma_{2R} = R_2 \sigma_1, \quad R_2 = (1 - \frac{1}{3} \epsilon^2 \partial_x^2)^{-1}.$$
 (3.9)

The super-Barnett approximation σ_2 is

$$\sigma_2 = \sigma_1 - \frac{4}{9} \varepsilon^3 \partial_x^3 u. \tag{3.10}$$

Substituting $\sigma^{(0)}$, σ_1 , σ_{1R} , σ_2 , and σ_{2R} in place of σ in the equations

$$\partial_t \theta = -\frac{5}{3} \partial_x u, \quad \partial_t u = -\partial_x (\theta + \sigma),$$
 (3.11)

switching to the variables $t'' = t/\varepsilon$, $x'' = x/\varepsilon$, and using the representation

$$u=u_1\varphi, \quad \theta=\theta_1\varphi, \quad \varphi=\exp(\omega t^{\prime\prime}+ikx^{\prime\prime}),$$
 (3.12)

we find the dispersion relations $\omega(k)$ for sound waves from the condition for the existence of a nontrivial solution of the system of linear equations for θ_1 , u_1 . These dispersion relations are

$$\omega_{1,2} = -\frac{2}{3}k^2 \pm k\left(\frac{4}{9}k^2 - \frac{5}{3}\right)^{\frac{1}{2}} \tag{3.13}$$

for the Navier-Stokes approximation $\sigma^{(0)}$,

$$\omega_{1,2} = -\frac{2}{3}k^2 \pm k \left[\frac{4}{9}k^2 - \frac{5}{3}(1 + \frac{4}{9}k^2) \right]^{1/2}$$
 (3.14)

for the Barnett approximation σ_1 ,

$$\omega_{1,2} = -\frac{2k^2}{3+5k^2} \pm i \frac{k}{2} \left(\frac{75k^4 + 41k^2 + 15}{25k^4 + 30k^2 + 9} \right)^{1/2}$$
(3.15)

for the regularized Barnett approximation σ_{1R} ,

$$\omega_{1,2} = \frac{2}{9} k^2 (k^2 - 3) \pm \left\{ \frac{1}{91} [4k^4 (3 - k^2)^2 - 45k^2 (4k^2 + 3)] \right\}^{\frac{1}{2}}$$
(3.16)

for the super-Barnett approximation σ_2 , and

$$\omega_{1,2} = -\frac{2k^2}{3+k^2} \pm i \frac{k}{2} \left(\frac{100k^4 + 312k^2 + 180}{3k^4 + 18k^2 + 21} \right)^{1/2}$$
 (3.17)

for the linearized super-Barnett approximation σ_{2R} .

Figure 1 shows dispersion curves for the Barnett approximation, (3.14) (the dashed line), and for the regular-

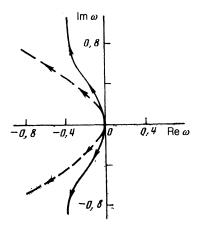


FIG. 1.

ized Barnett approximation, (3.15) (the solid line). The directions of the arrows correspond to an increase in the square of the wave vector, k^2 . Figure 2 shows dispersion curves for the super-Barnett approximation, (3.16) (the dashed line), and for the regularized super-Barnett approximation (3.17). We see that the regularization eliminates the short-wavelength instability of the super-Barnett approximation.

As the next example we consider the one-dimensional version of the 13-moment system (2.2). The Barnett approximation σ_1 , q_1 is

$$\sigma_1 = -\frac{1}{3} \varepsilon \partial_x u - \frac{4}{3} \varepsilon^3 \partial_x^2 \rho + \frac{2}{3} \varepsilon^2 \partial_x^2 T,$$

$$q_1 = -\frac{15}{4} \varepsilon \partial_x T - \frac{7}{4} \varepsilon^2 \partial_x^2 u.$$
(3.18)

Substituting (3.18) into the one-dimensional equations in (2.2), and then proceeding as above, we find the dispersion relation

$$18\omega^3 + 69\omega^2 k^2 + \omega k^2 (30 + 97k^2 - 14k^4) + 15k^4 (3 + k^2) = 0.$$

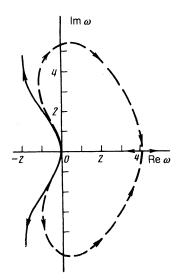


FIG. 2.

It is for this case that the short-wavelength instability was established in Ref. 2. We fix $k_0 = 1$ in (3.1) and go over to one-dimensional equations. The approximate recurrence equations (3.1) then become

$$\sigma^{(0)} = -\frac{4}{3} \partial_{x} u, \quad \sigma^{(k)} \approx -\partial_{t}^{(0)} \sigma^{(k-1)} - \frac{8}{15} \partial_{x} q^{(k-1)}, \quad k \geq 1,$$

$$q^{(0)} = -\frac{15}{4} \partial_{x} T, \quad q^{(k)} \approx -\frac{3}{2} \partial_{t}^{(0)} q^{(k-1)} - \frac{3}{2} \partial_{x} \sigma^{(k-1)}, \quad k \geq 1,$$

$$\partial_{t}^{(0)} \rho = -\partial_{x} u, \quad \partial_{t}^{(0)} T = -\frac{2}{3} \partial_{x} u, \quad \partial_{t}^{(0)} u = -\partial_{x} (T + \rho),$$
(3.20)

In the case k = 1, the approximation becomes an exact equation. In the one-dimensional case, the structure of (2.7) is

$$\sigma^{(2n)} = c_n \partial_x^{2n+1} u, \quad \sigma^{(2n+1)} = a_n \partial_x^{2n+2} T + b_n \partial_x^{2n+2} \rho,$$

$$\sigma^{(2n)} = a_n \partial_x^{2n+1} T + \beta_n \partial_x^{2n+1} \rho, \quad \sigma^{(2n+1)} = \phi_n \partial_x^{2n+2} u.$$
(3.21)

To determine the coefficients c_n , a_n , b_n , α_n , β_n , and φ_n from Eqs. (3.20) it is convenient to introduce the following entities: the space $X = R^3$, the vectors x_n ($n \ge 0$) in X with the components a_n , b_n , φ_n ; the space $Y = R^3$; and the vectors y_n ($n \ge 0$) in Y with the components c_n , α_n , β_n . We then find an analog of (3.5) from (3.20):

$$x_n = Sy_n$$
, $y_{n+1} = Lx_n$, $y_0 = (-\frac{4}{3}, -\frac{15}{4}, 0)$, (3.22)

where the 3×3 matrices S and L are

$$S = \begin{bmatrix} 1 & -\frac{8}{15} & 0 \\ 1 & 0 & -\frac{8}{15} \\ -\frac{3}{2} & 1 & \frac{3}{2} \end{bmatrix},$$

$$L = \begin{bmatrix} \frac{2}{3} & 1 & -\frac{8}{15} \\ -\frac{3}{2} & 0 & \frac{3}{2} \\ 0 & -\frac{3}{2} & \frac{3}{2} \end{bmatrix}.$$
(3.23)

The solution of Eqs. (3.22) is

$$y_n = K^n y_0, \quad x_n = SK^n y_0, \quad K = LS.$$
 (3.24)

From this point on the procedure for constructing regular-

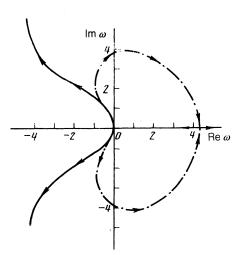


FIG. 3.

ized Barnett approximations σ_{1R} , q_{1R} is analogous to the procedure used in the first example. The final result is

$$\sigma_{1R} = P_{c}R_{1}y_{0}\varepsilon\partial_{x}u + P_{a}SR_{1}y_{0}\varepsilon^{2}\partial_{x}^{2}T + P_{b}SR_{1}y_{0}\varepsilon^{2}\partial_{x}^{2}\rho,$$

$$q_{1R} = P_{\alpha}R_{1}y_{0}\varepsilon\partial_{x}T + P_{\beta}R_{1}y_{0}\varepsilon\partial_{x}\rho + P_{\phi}SR_{1}y_{0}\varepsilon^{2}\partial_{x}^{2}u,$$

$$R_{1} = (1 - \varepsilon^{2}K\partial_{x}^{2})^{-1}.$$
(3.25)

Here the P_{μ} project onto the corresponding axes in X and Y (e.g., $P_c y_k = c_k$). The dispersion relation for the approximation (3.25) is rather complicated, so we will not reproduce it here. Figure 3 is a sketch of the dispersion curves for the Barnett approximation (3.18) (the dashed line), and for the regularized Barnett approximation, (3.25) (the solid line). Only the acoustic branches are shown; the diffusion branches are essentially the same in each approximation.

As a final example we consider the result of regularization of the Barnett $(k_0 = 1)$ approximations for Eqs. (2.2):

$$\sigma_{1Rik} = P_c R_1 y_0 \varepsilon \overline{\partial_i u_k} + P_d R_1 y_0 \Delta^{-1} \Gamma_{ik} \varepsilon \partial_s u_s$$

$$+ P_a S R_1 y_0 \varepsilon^2 \Gamma_{ik} \rho + P_b S R_1 y_0 \varepsilon^2 \Gamma_{ik} T,$$

$$q_{1Rk} = P_a R_1 y_0 \varepsilon \partial_k \rho + P_\beta R_1 y_0 \varepsilon \partial_k T$$

$$+ P_\phi S R_1 y_0 \varepsilon^2 \partial_k \partial_s u_s + P_\psi S R_1 y_0 \varepsilon^2 \Delta u_k,$$

$$R_1 = (1 - \varepsilon^2 K \Delta)^{-1}, \quad y_0 = (0, -1, 0, -1^5/4).$$

$$(3.26)$$

The space of four-dimensional vectors $x_n = (a_n, b_n, \varphi_n, \psi_n)$, $y_n = (d_n, c_n, \alpha_n, \beta_n)$ was used in the derivation of (3.26) (a similar representation was used in the preceding example). We also used the 4×4 matrices S and L [analogs of (3.23)] given by

$$S = \begin{bmatrix} 1 & 1 & -\frac{2}{5} & 0 \\ 1 & 1 & 0 & -\frac{2}{5} \\ -2 & -\frac{1}{2} & \frac{3}{2} & 1 \\ 0 & -\frac{3}{2} & 0 & 0 \end{bmatrix},$$

$$L = \begin{bmatrix} 1 & \frac{3}{2} & \frac{2}{5} & 0 \\ 0 & 0 & 0 & \frac{2}{5} \\ -2 & 0 & \frac{3}{2} & \frac{3}{2} \\ 0 & -2 & \frac{3}{2} & \frac{3}{2} \end{bmatrix}.$$
(3.27)

The matrix K is equal to the product LS.

4. The method presented above for regularizing Barnett approximations is based on the ideas of the Padé approximate⁸ and partial summation of series. It is not possible at

the outset to say just whether this procedure will result in the required stability of the wave spectrum. This situation is typical for methods which use the Padé approximation: A real improvement in the original expansions may be achieved, but then again it may not. Several instructive examples in this connection are given in a monograph.⁸

In the case of the linearized Grad equations, the problem of the stability of the wave spectrum can be formulated without invoking any truncation of the sequence of operators $\partial_t^{(m)}$ as in (3.1). For the ten-moment equations, for example, the regularized stress tensor σ_{nR} can be sought in the form

$$\sigma_{nR} = F_{1n}(\partial_x^2) \partial_x u + F_{2n}(\partial_x^2) \partial_x^2 \theta$$

The operator symbols $F_{1,2n}$ ($-k^2$) are written as power series in $-k^2$, in which the first n coefficients are known. The functions $F_{1,2n}$ appear in the coefficients of the dispersion relation. We need to construct an approximation of the functions $F_{1,2n}$ which has the given part of a Taylor series and which leads to the correct positions of the roots of the dispersion relation. The dependence of the functions $F_{1,2n}$ on ∂_x^2 alone is of course a consequence of the simple structure of the form (2.7) and (3.4).

There is no particular difficulty in formally extending the procedure (3.1) to the nonlinear Grad equations. In general, however, it is not possible to determine structures of the type (2.7) and (3.4). It is, on the other hand, possible to distinguish terms of a common type [e.g., terms with a maximum nonlinearity $(\partial_x u)^{n+1}$ in the *n*th order] in each order of the expansion and to carry out a regularization procedure to eliminate the negative viscosity of the Barnett approximations.

Translated by D. Parsons

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