

# Current-voltage characteristic of an inhomogeneous tunneling junction in the above-threshold region $eV > \Delta_1 + \Delta_2$

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We show that a region of negative differential resistance can appear on the current-voltage characteristic of a tunneling junction manufactured from inhomogeneous superconductors. What is important is that with such a hysteresis mechanism the right end of the region of negative differential resistance always proves to be displaced to the right of the threshold by a distance considerably greater than the "step" on the current-voltage characteristic.

## 1. INTRODUCTION

At low temperatures the current-voltage characteristic of a tunneling junction<sup>1,2</sup> can differ considerably from that given by an idealized model based on the tunneling Hamiltonian in the second-order perturbation theory in the barrier penetrability and on the BCS model.<sup>3</sup> Describing the experimental data requires allowing both for higher-order terms in the perturbation-theory expansion in powers of barrier penetrability and for the various depairing mechanisms, which smear out the square-root singularity in the density of states of single-particle excitations. Moreover, inhomogeneities, always present in superconductors, must be taken into account.<sup>4</sup>

Two-particle tunneling causes steps to appear on the current-voltage characteristic of the junction at voltages  $V$  that obey the condition  $eV = \Delta_{1,2}$ , where  $\Delta_1$  and  $\Delta_2$  are the order parameters in the first and second superconductors, respectively.<sup>5,6</sup> Allowing for the depairing mechanisms in the self-consistent field approximation makes the width  $E_{1,2}$  of the gap in the single-particle excitation spectrum less than  $\Delta_{1,2}$  and smears out all singularities on the current-voltage characteristic. Allowing for inhomogeneities also reduces the density of states at energies  $\epsilon_{1,2}$  less than  $E_{1,2}$  and changes the form of the density of states in the energy range  $\epsilon_{1,2} > E_{1,2}$  (see Ref. 4). Although these corrections are small, they nevertheless lead to new phenomena and are therefore considered below.

## 2. THE CURRENT-VOLTAGE CHARACTERISTIC IN THE REGION ABOVE THE BARRIER

At low temperatures ( $T \ll \Delta_{1,2}$ ), the current-voltage characteristic of a tunneling junction is specified by the following formula:

$$-eR_{Nj} = \int_0^{eV} d\omega \operatorname{Re} \alpha_1(\omega) \operatorname{Re} \alpha_2(eV - \omega), \quad (1)$$

where  $R_N$  is the junction resistance in the normal state, and  $\alpha_{1,2}$  are the retarded Green's functions integrated with respect to the energy variable.<sup>3</sup> In the self-consistent field

approximation and in inhomogeneous superconductors,  $\alpha$  near the single-particle excitation threshold satisfies a cubic equation.<sup>4</sup> To study the above-barrier region we must refine this equation so that it contains correction terms quadratic in the inhomogeneities, terms emerging from the low-momentum region.<sup>4</sup>

Following Ref. 4, we arrive at the following system of equations for the Green's functions  $\alpha$  and  $\beta$  averaged over the inhomogeneity distribution:

$$\alpha^2 - \beta^2 = 1 - \frac{M_0 \Delta^2 \alpha^2}{8\pi} \exp\left(\frac{i\pi}{4}\right) \left(\frac{6}{vl_{tr}}\right)^{3/2} (\omega\alpha - \Delta\beta)^{-1/2}, \quad (2)$$

$$\alpha\Delta - \omega\beta - i\alpha\beta\Gamma + \frac{M_0 \Delta^2 \alpha\beta}{4\pi} \left(\frac{6}{vl_{tr}}\right)^{3/2}$$

$$\times \exp\left(\frac{i\pi}{4}\right) (\omega\alpha - \Delta\beta)^{1/2} = 0.$$

Here  $\Delta$  is the average value of the order parameter in the superconductor,  $v$  is the electron velocity on the Fermi surface, and  $l_{tr}$  is the electron transit length (free path length).

For a weakly inhomogeneous superconductor we can write the order parameter  $\Delta(r)$  as

$$\Delta(r) = \Delta + \Delta_1(r), \quad (3)$$

with  $\langle \Delta_1(r) \rangle = 0$ .

The correlation function of the random quantity  $\Delta_1(r)$  can be written as

$$\langle \Delta_1(r) \Delta_1(r_1) \rangle = \Delta^2 \int \frac{d^3\mathbf{k}}{(2\pi)^3} M_k \exp\{i\mathbf{k}(\mathbf{r} - \mathbf{r}_1)\}. \quad (4)$$

The function  $M_k$  depends on the type of inhomogeneities and their distribution.<sup>4</sup> According to Ref. 4, the parameters  $M_0$  and  $\Gamma$  in Eq. (2) are

$$M_0 = M_{k=0}, \quad \Gamma/\Delta = \frac{3\Delta}{\pi^2 v l_{tr}} \int_0^\infty d\mathbf{k} H_k. \quad (5)$$

In deriving Eq. (2) we have assumed that the electron mean free path in a superconductor is short:

$$l_{tr} \ll v/\Delta. \quad (6)$$

Let us find the current-voltage characteristic of the junction in the above-barrier region,

$$\Delta_{1,2} \gg eV - \Delta_1 - \Delta_2 \gg \Delta(\Gamma/\Delta)^{2/3}. \quad (7)$$

As shown below, it is in this region that inhomogeneities can give rise to an essentially new phenomenon, a region of negative differential resistance. To obtain the current-voltage characteristic in the region defined in (7) we need only to solve the system of equations (2) using perturbation-theory techniques. As a result of simple calculations we get

$$\alpha = \frac{\omega}{(\omega^2 - \Delta^2)^{1/2}} [1 + \alpha^{(1)}(\omega)], \quad (8)$$

$$\alpha^{(1)} = -\frac{i\Gamma}{\Delta} \left( \frac{\Delta^2}{\omega^2 - \Delta^2} \right)^{3/2} \frac{3M_0\Delta^4 \exp(i\pi/4)}{16\pi(\omega^2 - \Delta^2)^{5/4}} \left( \frac{6}{vl_{tr}} \right)^{3/2}.$$

Combining (1) and (8), we get

$$-eR_N j = \frac{\pi\sqrt{\Delta_1\Delta_2}}{2} + \frac{3\pi}{16}(eV - \Delta_1 - \Delta_2) \frac{\Delta_1 + \Delta_2}{\sqrt{\Delta_1\Delta_2}} + I,$$

$$I = \frac{1}{4} \left( \int \frac{d\omega \sqrt{\Delta_1\Delta_2}}{\sqrt{\omega - \Delta_1} \sqrt{eV - \omega - \Delta_2}} \cdot \alpha^{(1)}(\omega) + (1 \leftrightarrow 2) \right). \quad (9)$$

Here and  $\Delta_1$  and  $\Delta_2$  are the average values of the order parameters in the first and second superconductors, respectively. The integral is evaluated along a contour that starts at the point  $\omega = eV - \Delta_2 - i\delta$  and bypasses the singularities of the function  $\alpha$  in the neighborhood of the point  $\omega = \Delta_1$ . The symbol  $(1 \leftrightarrow 2)$  indicates that we must add to the integral written explicitly the same integral with the indices 1 and 2 interchanged. Evaluating the integral in (9), we get

$$I = \frac{9\sqrt{3}\sqrt{\Delta_1\Delta_2}}{32 \cdot 2^{1/4} \pi (eV - \Delta_1 - \Delta_2)^{5/4}} \left[ \Delta_1^{5/4} \left( \frac{\Delta_1}{(vl_{tr})_1} \right)^{3/2} M_0^{(1)} + \Delta_2^{5/4} \left( \frac{\Delta_2}{(vl_{tr})_2} \right)^{3/2} M_0^{(2)} \right] \times B\left(\frac{1}{2}, -\frac{3}{4}\right) - \frac{\pi\sqrt{\Delta_1\Delta_2}(\Gamma_1\sqrt{\Delta_1} + \Gamma_2\sqrt{\Delta_2})}{8\sqrt{2}(eV - \Delta_1 - \Delta_2)^{3/2}}, \quad (10)$$

where  $B(x, y)$  is the Euler function. From Eqs. (9) and (10) we can easily derive the condition for the appearance of a region of negative differential resistance on the current-voltage characteristic of a tunneling junction. To do this, we introduce the dimensionless parameters  $\kappa_{1,2}$ , which characterize the distribution of inhomogeneities in a superconductor:

$$\kappa_{1,2}^{5/4} = \frac{48\pi}{5M_0^{(1,2)}} \left[ \sqrt{\frac{2}{3}} \left( \frac{vl_{tr}}{3\Delta} \right) \right]^{3/2}. \quad (11)$$

If we combine this with Eq. (10) for  $I$ , we arrive at a simpler equation for the current:

$$I = \frac{3 \cdot 3^{1/4}}{5\sqrt{2}} B\left(\frac{1}{2}, -\frac{3}{4}\right) \sqrt{\Delta_1\Delta_2} \left\{ \frac{1}{\kappa_1^{5/4}} \left( \frac{\Delta_1}{eV - \Delta_1 - \Delta_2} \right)^{5/4} + \frac{1}{\kappa_2^{5/4}} \left( \frac{\Delta_2}{eV - \Delta_1 - \Delta_2} \right)^{5/4} \right\} - \frac{\pi\sqrt{\Delta_1\Delta_2}(\Gamma_1\sqrt{\Delta_1} + \Gamma_2\sqrt{\Delta_2})}{8\sqrt{2}(eV - \Delta_1 - \Delta_2)^{3/2}}. \quad (12)$$

The region of negative differential resistance exists if

$$\frac{3\pi}{16} \frac{\Delta_1 + \Delta_2}{\sqrt{\Delta_1\Delta_2}} + \left( \frac{\partial I}{\partial eV} \right)_{eV_0} < 0, \quad (13)$$

where  $eV_0$  is the extremal point of  $(\partial I/\partial eV)$ , that is, the point at which

$$\left( \frac{\partial^2 I}{\partial (eV)^2} \right)_{eV_0} = 0. \quad (14)$$

Combining (12) and (14), we find the position of the extremal point  $eV_0$ :

$$eV_0 - \Delta_1 - \Delta_2 = \left\{ \frac{5\pi}{18 \cdot 3^{1/4} B(1/2, -3/4)} \times \frac{\Gamma_1\sqrt{\Delta_1} + \Gamma_2\sqrt{\Delta_2}}{[(\Delta_1/\kappa_1)^{5/4} + (\Delta_2/\kappa_2)^{5/4}]} \right\}^4. \quad (15)$$

Substituting this into Eq. (13), we arrive at an inequality that is the condition for the appearance of a region of negative differential resistance:

$$[\Gamma_1\Delta_1^{1/2} + \Gamma_2\Delta_2^{1/2}] \left[ \frac{5\pi}{18 \cdot 3^{1/4} B(1/2, -3/4)} \times \frac{\Gamma_1\Delta_1^{1/2} + \Gamma_2\Delta_2^{1/2}}{(\Delta_1/\kappa_1)^{5/4} + (\Delta_2/\kappa_2)^{5/4}} \right]^{-10} \geq 9\sqrt{2} \frac{\Delta_1 + \Delta_2}{\Delta_1\Delta_2}. \quad (16)$$

### 3. THE GAP IN THE SINGLE-PARTICLE EXCITATION SPECTRUM

The Green's functions  $\alpha$  and  $\beta$  in the self-consistent field approximation are given by Eqs. (2). In this approximation the single-particle excitation spectrum contains a gap of width  $E$ . When  $0 < \omega < E$  holds, both  $\alpha$  and  $\beta$  are purely imaginary and we can assume

$$\alpha = -ix, \quad \beta = -iy, \quad \omega\alpha - \Delta\beta = i\Delta z^2. \quad (17)$$

Suppose that the parameters  $\Delta/\Gamma$  and  $\kappa$  are large, that is,

$$\{\Delta/\Gamma, \kappa\} \gg 1. \quad (18)$$

In this case, near the threshold the functions  $X$  and  $Y$  satisfy the inequality

$$\{x, y\} \gg 1. \quad (19)$$

Using this inequality and Eqs. (2), we arrive at the following system of equations for the functions  $x$  and  $y$  at the threshold:

$$\gamma x^2 z^2 + \gamma^2 \frac{x^2}{8z^3} + \frac{\gamma}{4z^2} + \frac{4z}{x} - 2z^3 = 0, \quad (20)$$

$$\frac{1}{x} + \frac{\gamma x}{2z} + (\Gamma/\Delta)x^2 - \gamma z x^2 - z^2 = 0,$$

where the dimensionless parameter  $\gamma$  is given by the formula

$$\gamma = \frac{M_0}{4\pi} \left( \frac{6\Delta}{v l_{tr}} \right)^{3/2} = \frac{24\sqrt{2}}{5\kappa^{5/4}} \left( \frac{2}{3} \right)^{3/4}. \quad (21)$$

Equations (17), (20), and (21) determine the values of the Green's functions  $\alpha$  and  $\beta$  at the threshold point  $E$ , and also the location of this point.

Let us examine the most interesting region of small values of parameter  $\gamma$ ,

$$\gamma \ll (\Gamma/\Delta)^{5/6}. \quad (22)$$

If this condition is met, we can employ perturbation theory to solve the system of equations (20). Also, the values of parameter  $\kappa$  happen to lie inside the range of values for which condition (16) for the appearance of a hysteresis loop on the current-voltage characteristic is satisfied. By means of simple calculations we find

$$E = \Delta \left[ 1 - \frac{3}{2} \left( \frac{\Gamma}{\Delta} \right)^{2/3} + \frac{42}{5\kappa^{5/4}} \left( \frac{2}{3} \right)^{3/4} \left( \frac{\Delta}{\Gamma} \right)^{1/6} \right],$$

$$\alpha(E) = -i \left( \frac{\Delta}{\Gamma} \right)^{1/3} \left[ 1 + \frac{41\gamma}{48\sqrt{2}} \left( \frac{\Delta}{\Gamma} \right)^{5/6} \right], \quad (23)$$

$$z(E) = \sqrt{2} \left( \frac{\Gamma}{\Delta} \right)^{1/6} \left[ 1 - \frac{31\gamma}{192\sqrt{2}} \left( \frac{\Delta}{\Gamma} \right)^{5/6} \right].$$

#### 4. THE RANGE OF VOLTAGES BELOW THE THRESHOLD

Fluctuations in the inhomogeneity distribution also lead to the appearance of a density of states in the energy region<sup>4</sup>

$$\Delta - E \ll E - \omega \ll \Delta. \quad (24)$$

The density of states here is determined by the same parameters  $\Gamma/\Delta$  and  $\kappa$  as in the above-barrier region. We write it in the form<sup>7</sup>

$$\text{Re } \alpha(\omega) = f_1 = 2.48 \left( \frac{3}{2} \right)^{3/8} \left( \frac{5}{48\kappa\pi} \right)^{1/2} \left( \frac{\Delta}{\Gamma} \right)^{2/3} \times \left[ \left( \frac{E-\omega}{\kappa\Delta} \right)^2 + A \right]^{9/16} \times \left[ - \left( \left( \frac{E-\omega}{\kappa\Delta} \right)^2 + A \right)^{5/8} \right] \quad 0 < E - \omega, \quad (25)$$

with  $0 < E - \omega$ . Here the dimensionless parameter  $A$  is of order unity and must be considered an adjustable parameter, so that Eq. (25) can give the best approximation for the density of states in the entire energy range  $0 < E - \omega \ll \Delta$ .

Equations (2) and (25) make it possible to derive a good interpolation formula for the density of states, suitable for all values of  $\omega$  and exact for energies far from the threshold:<sup>7</sup>

$$\text{Re } \alpha(\omega) = \begin{cases} f_1(\omega), & \omega < E, \\ f_1(\omega) + f_2(\omega), & \omega > E, \end{cases} \quad (26)$$

where  $f_1(\omega)$  is an even function of the argument  $E - \omega$ , and for  $E - \omega > 0$  is given by Eq. (25). The function  $f_2(\omega)$  is the real part of the function  $\alpha(\omega)$  defined in Eqs. (2).

Comparison of the experimental data with the current-voltage characteristic determined via Eqs. (1), (2), (25), and (26) allows an accurate determination of the parameters  $\Gamma/\Delta$ ,  $\kappa$ , and  $A$  of a superconductor.<sup>7</sup>

Below we give an expression for the current, valid for voltages obeying the inequality

$$\Delta_1 + \Delta_2 - (E_1 + E_2) \ll (E_1 + E_2) - eV. \quad (27)$$

Combining Eqs. (1) and (25), for a symmetric junction we get

$$-eR_{Nj} = \frac{0.877\Delta}{\kappa^2} \left( \frac{\Delta}{\Gamma} \right)^{4/3} \left[ \left( \frac{E - eV/2}{\kappa\Delta} \right)^2 + A \right]^{21/16} \times \exp \left[ -2 \left( \left( \frac{E - eV/2}{\kappa\Delta} \right)^2 + A \right)^{5/8} \right]. \quad (28)$$

Comparison of the experimental data with the formula (28) allows an accurate determination of the parameter  $\kappa$ .

#### 5. CONCLUSION

For inhomogeneous superconductors the density of states is determined with good accuracy by the self-consistent field approximation. The single-particle excitation spectrum acquires a gap of width  $E$  in this approximation, with  $E$  smaller than the order parameter  $\Delta$ . In weakly inhomogeneous superconductors the shift  $\delta_{1,2} = \Delta_{1,2} - E_{1,2}$  of the edge of the spectrum is small.

Fluctuations in the distribution of inhomogeneities also result in the appearance of a finite density of states for energies lower than  $E$ .

The current-voltage characteristic of a tunneling junction near the threshold  $eV \approx E_1 + E_2$  makes it possible to determine the parameters  $\Gamma/\Delta$  and  $\kappa$  characterizing the magnitude and distribution of inhomogeneities. The density of states and the current-voltage characteristic of the tunneling junction depend on various combinations of  $\Gamma/\Delta$  and  $\kappa$ . As a result the current-voltage characteristic of the junction in the above-barrier region  $eV - E_1 - E_2 > \delta_1 + \delta_2$  can be found via perturbation-theory techniques. This suffices to clarify the question of the appearance of a section with negative differential resistance and of its size. If the appearance of a section with negative differential resistance is due to inhomogeneities in the superconductors, the right edge of this section is shifted to the right by an amount exceeding the shift  $\delta_{1,2}$  of the edge of the spectrum by a

factor on the order of the large parameter. When the section with negative differential resistance appears this parameter is of the order of  $\kappa^{10/27}$ .

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